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rj301@Why quantum computation & information?

What is information?

classical info - bit: Boolean variable, values 0, 1

bit strings - for more alternatives

What is computation?

- updating bit strings by prescribed sequences of steps (the 'program')

- basic elementary Boolean operations / gates

e.g. AND, OR, NOT, SWAP acting on 1 or 2 bits in string

Property: "each step / operation takes a fixed effort to perform, independent of the length of the string"

What is a bit?not abstract maths but two distinguishable states of a physical system

R. Landauer: "no information without representation"

Computation (info processing) must correspond to a physical evolution of the system representing the information

!! So must obey laws of physics

So All possibilities & limitations of info storage, communication, processing (computation) must rest on laws of physics & cannot rest on abstract thought / maths aloneSome benefits of quantum vs classical physics for computing(a) Computing power (computational complexity) & informationA quantum computer cannot compute anything that's not computable in principle on a classical computer

But: computational 'hardness' ~ amount of resources (time = # steps, space = amount of memory) needed

- if too high then uncomputable in practice

Example Task: given integer N ($n = O(\log N)$ digits)
 find a factor of it

"input size" = n

poly-time algorithm: runs in time (# steps) bounded by
 a polynomial in $n \leftarrow P(n) = O(n^k) = 2^{k \log n}$

poly-time algorithms \sim "feasible in practice"

Algorithms needing exponential (super-poly) time are not
 feasible in practice

e.g. trial division by $x=1 \rightarrow \sqrt{N}$

needs at least $O(\sqrt{N}) = O(2^{n/2})$ time

Best known classical factoring algorithm time $\sim 2^{O(n^{1/3}(\log n)^{2/3})}$
not feasible!

Factoring is in practice - uncomputable classically
 but computable quantumly

Shor's algorithm runs in $O(n^3)$ time

(b) communication / security benefits

- provably secure communication is possible using quantum effects (impossible in classical physics)

- BBQ4 quantum key distribution

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- novel kinds of communication

- e.g. quantum teleportation

further benefits: no cloning theorem, \sim quantum entanglement

(c) Technological issues

Moore's law (of classical comp^h)

miniaturisation of computer computers since 1965

- factor of 4 every 3 1/2 years

- now at atomic level

Build a quantum computer? v. difficult

Principles of QM & Dirac bra-ket notation

Bra & Ket vectors

V : finite dim complex vector space with an (hermitian) inner product.

- vectors written as $|v\rangle$ (rather than e.g. \underline{v}) called Ket vectors or just kets
- Often work with 2-dim V_2 with a chosen orthonormal (o.n.) basis $\{|0\rangle, |1\rangle\}$ labelled by bit values

Kets always written as column vectors in components

$$\text{e.g. } |v\rangle = a|0\rangle + b|1\rangle = \begin{pmatrix} a \\ b \end{pmatrix}, \quad a, b \in \mathbb{C}$$

Conjugate transpose $|v\rangle^\dagger$ (denoted \dagger)

called bra vector, written in mirror image notation

$$\langle v| = |v\rangle^\dagger = a^*\langle 0| + b^*\langle 1| = (a^* \quad b^*)$$

row vector in components

More formally: bra vec $\langle v|$ is element of dual vector space V^* of V under canonical isom $V \cong V^*$ given by the inner product i.e. $\langle v|$ is linear map

$$|w\rangle \mapsto \text{inner product of } |v\rangle \text{ with } |w\rangle \quad \text{"}\underline{v} \cdot \underline{w}\text{"}$$

any $w \in V$

$\underline{v} \cdot \underline{w}$ is linear in $|w\rangle$ & antilinear in $|v\rangle$

(so linear in $\langle v|$)

If $|w\rangle = c|0\rangle + d|1\rangle$, then the inner prod of $|v\rangle$ with $|w\rangle$ is written by juxtaposing bra & ket: i.e.

$$\langle v|w\rangle = |v\rangle^\dagger |w\rangle = (a^* \quad b^*) \begin{pmatrix} c \\ d \end{pmatrix} = a^*c + b^*d$$

c.f. common maths notation $(\underline{v}, \underline{w})$ inner product

→ Dirac "bra-ket" names

$$\text{e.g. o.n. of } |0\rangle \& |1\rangle \equiv \langle i|j\rangle = \delta_{ij}$$

Tensor products of vectors

L2.2

For V dim m o.n. basis $|e_i\rangle, \dots, |e_m\rangle$

W dim n o.n. basis $|f_j\rangle, \dots, |f_n\rangle$

Tensor product space

$V \otimes W$ has dim mn with o.n. basis $\{|e_i\rangle \otimes |f_j\rangle\}_{\substack{i=1, \dots, m \\ j=1, \dots, n}}$
is bilinear

General ket in $V \otimes W$

$$|\xi\rangle = \sum c_{ij} |e_i\rangle \otimes |f_j\rangle$$

• Natural bilinear map $f: V \times W \rightarrow V \otimes W$

$$\text{If } |\alpha\rangle = \sum a_i |e_i\rangle, \quad |\beta\rangle = \sum b_j |f_j\rangle,$$

$$\text{then } (|\alpha\rangle, |\beta\rangle) \xrightarrow{f} |\alpha\rangle \otimes |\beta\rangle = \sum_{i,j} a_i b_j |e_i\rangle \otimes |f_j\rangle$$

Note: \otimes is not commutative

$$\text{e.g. if } V=W \text{ then } |\alpha\rangle \otimes |\beta\rangle \neq |\beta\rangle \otimes |\alpha\rangle$$

\uparrow \uparrow
opts a_i, b_j opts b_i, a_j

We often omit \otimes & write $|\alpha\rangle \otimes |\beta\rangle$ as $|\alpha\rangle |\beta\rangle$

But the mapping f is not surjective:

Product vectors & entangled vectors.

• any $|\xi\rangle \in V \otimes W$ of form $|\xi\rangle = |\alpha\rangle \otimes |\beta\rangle$

called a product vector

• any $|\xi\rangle$ that is not a product vector is called entangled

We will mostly be concerned with tensor prods of 2-dim V_2 with itself.

For k -fold tensor power, write $\otimes^k V_2 = V_2 \otimes \dots \otimes V_2$

- has dim 2^k and o.n. basis

$$|i_1\rangle \otimes \dots \otimes |i_k\rangle \quad (i_1, \dots, i_k = 0, 1)$$

labelled by 2^k k -bit strings

• often write $|i_1\rangle \otimes \dots \otimes |i_k\rangle$ as $|i_1\rangle \dots |i_k\rangle$ or $|i_1 \dots i_k\rangle$

Example

$$|v\rangle = |00\rangle + |11\rangle \text{ in } V_2 \otimes V_2$$

is entangled

$$\text{To see this, suppose } v = (a|0\rangle + b|1\rangle)(c|0\rangle + d|1\rangle)$$

$$\text{RHS} = ac|00\rangle + ad|01\rangle + bc|10\rangle + bd|11\rangle$$

compare to components of $|v\rangle$

$$ac=1, ad=0, bc=0, bd=1$$

$$\text{so } abcd = (ac)(bd) = 1$$

$$\text{" } (ad)(bc) = 0 \quad \times$$

Can show that

$$|v\rangle = a_{00}|00\rangle + a_{01}|01\rangle + a_{10}|10\rangle + a_{11}|11\rangle$$

is entangled iff $\det(a_{ij}) \neq 0$

For general dimension

$$\sum_{\substack{i=1, \dots, m \\ j=1, \dots, n}} A_{ij} |i\rangle |j\rangle \text{ is a product vector iff matrix } [A_{ij}] \text{ has rank 1 (or zero)}$$

Inner product (IP) on $V \otimes W$

- induced by IPs on V & W "applied slotwise"

For product states $|\alpha_1\rangle |\beta_1\rangle$ & $|\alpha_2\rangle |\beta_2\rangle$

$$\text{I.P. is } (\langle \beta_1 | \langle \alpha_1 |) (|\alpha_2\rangle |\beta_2\rangle) = \langle \alpha_1 | \alpha_2 \rangle \langle \beta_1 | \beta_2 \rangle$$

extend by linearity to all $|\xi\rangle \in V \otimes W$

Note (notation)

For bra vector of $|\alpha\rangle |\beta\rangle$ often reverse order & write $\langle \beta | \langle \alpha |$ but always important to keep track of component slots.

Sometimes include explicit labels e.g.

$$|\alpha\rangle_A |\beta\rangle_B \text{ has bra } {}_A \langle \alpha | {}_B \langle \beta | = {}_B \langle \beta | {}_A \langle \alpha |$$

Quantum principle (QM1) (physical state)

L2.4

State of any (isolated) physical system S represented by unit vectors in a complex vector space V with an I.P.

$$e^{i\theta} |v\rangle \text{ \& \ } |v\rangle$$

Simplest (non-trivial) case: $V = V_2$, 2 dim
Choose pair of o.n. vectors, label them with bit values viz $|0\rangle, |1\rangle$. Then general state

$$|\psi\rangle = a|0\rangle + b|1\rangle, \quad a, b \in \mathbb{C}, \quad |a|^2 + |b|^2 = 1$$

" $|\psi\rangle$ is superposition of $|0\rangle$ & $|1\rangle$ with amplitudes a, b resp"

Qubit: any quantum system with 2 dim state space and chosen o.n. basis labelled $|0\rangle, |1\rangle$

- called computational basis or standard basis \leftarrow Z-basis

Conjugate basis for a qubit

Given o.n. pair $|0\rangle$ & $|1\rangle$ we get o.n. pair

$$|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle), \quad |-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$$

called conjugate basis or X-basis

(QM2) (composite system)

If system S_1 has state space V_1 ,
" S_2 " " V_2

then joint system S_1, S_2 obtained by taking S_1 & S_2 together has state space $V_1 \otimes V_2$

Composite systems

S_1 quantum state space V_1

S_2 " " V_2

then joint system S_1, S_2 has $V_1 \otimes V_2$

Basic example: n qubits

state space $V_2^{\otimes n}$, $\dim 2^n$

computational / standard basis $|i_1, \dots, i_n\rangle$ labelled by 2^n bit strings

• n qubit state $|\psi\rangle$ is product state

if it is tensor product of n 1-qubit states

$$|\psi\rangle = |v_1\rangle |v_2\rangle \dots |v_n\rangle$$

• otherwise $|\psi\rangle$ is entangled

Remark: in classical physics S_1, S_2 has state space

$V_1 \times V_2$ Cartesian product

\sim no entangled states

Quantumly As number n of qubits grows, the full state

description grows exponentially $|\psi\rangle = \sum a_{i_1, \dots, i_n} |i_1, \dots, i_n\rangle$

However for product states, description \uparrow $\exp(n)$ components
grows linearly in n (nK parameters, $K = \#$ params for 1 qubit)

Generally: if system S has states described by K parameters,
then $S_n =$ composite of n instances of S has

quantumly: $O(K^n)$ parameters exponential

classically: $O(n)$ parameters only linear

Dirac notation - linear maps

Consider linear maps on V_2 (higher dim similar)

with $|v\rangle = a|0\rangle + b|1\rangle$, $|w\rangle = c|0\rangle + d|1\rangle$.

Then 'ket-bra' product gives $M = |v\rangle\langle w|$.

$$M = \begin{pmatrix} a \\ b \end{pmatrix} (c^* \ d^*) = \begin{pmatrix} ac^* & ad^* \\ bc^* & bd^* \end{pmatrix} \quad (*)$$

L3.2

- a linear map on V_2 . for any $|x\rangle = \begin{pmatrix} x_0 \\ x_1 \end{pmatrix}$

$$M|x\rangle = (|v\rangle\langle w|) |x\rangle = |v\rangle \langle w|x\rangle$$

\uparrow 1D ing \uparrow scalar

in components $\underbrace{\begin{pmatrix} a \\ b \end{pmatrix}}_{(v)} \underbrace{(c^* \ d^*)}_{c^*x_0 + d^*x_1} \begin{pmatrix} x_0 \\ x_1 \end{pmatrix}$

These are rank 1 mappings

For general linear map

$$A = \begin{pmatrix} a_{00} & a_{01} \\ a_{10} & a_{11} \end{pmatrix}$$

note first: for basis states

$$|0\rangle\langle 0| = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad |1\rangle\langle 0| = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$|0\rangle\langle 1| = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad |1\rangle\langle 1| = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

$$|1\rangle\langle 0| = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

So can express $A = a_{00} |0\rangle\langle 0| + a_{01} |0\rangle\langle 1|$
 $+ a_{10} |1\rangle\langle 0| + a_{11} |1\rangle\langle 1|$
 $= \sum a_{ij} |i\rangle\langle j|$

$\{ |0\rangle\langle 0|, |0\rangle\langle 1|, |1\rangle\langle 0|, |1\rangle\langle 1| \}$ basis for $V_2 \otimes V_2^*$ dual
↓

Cyclic property of trace

$$\langle v|w\rangle = \text{trace}(|v\rangle\langle w|) = ac^* + bd^*$$

Projection operators

Special case of (*) with $|v\rangle = |w\rangle$ (in any dim)

& $|v\rangle$ is normalised $\langle v|v\rangle = 1$

Then $\Pi_v = |v\rangle\langle v|$ is operator of proj onto 1-dim subspace spanned by $|v\rangle$.

e.g. have $\Pi_v \Pi_v = |v\rangle\langle v| |v\rangle\langle v| = |v\rangle\langle v| = \Pi_v$

$$\Pi_v |v\rangle = |v\rangle\langle v| |v\rangle = |v\rangle \quad \textcircled{1}$$

$$\Pi_v |w\rangle = 0 \quad \text{if } |w\rangle \perp |v\rangle \text{ i.e. } \langle v|w\rangle = 0$$

More generally, if E is any d -dim linear subspace of n -dim space V and $\{|e_1\rangle, \dots, |e_d\rangle\}$ is any o.n. basis of E , then $\Pi_E = |e_1\rangle\langle e_1| + \dots + |e_d\rangle\langle e_d|$ is operator of projection onto E . ← indep of choice of o.n. basis

Tensor products of maps

If $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$, $B = \begin{pmatrix} p & q \\ r & s \end{pmatrix}$ are linear maps on V_2 , then $A \otimes B : V_2 \otimes V_2 \rightarrow V_2 \otimes V_2$ defined by basis action

$$|i\rangle|j\rangle \mapsto (A|i\rangle)(B|j\rangle) \quad \& \text{ linear extension}$$

$\uparrow \otimes$ $\uparrow \otimes$

e.g. on any product vector $|v\rangle|w\rangle \mapsto (A|v\rangle)(B|w\rangle)$

In components, (bases $\{|i\rangle\}$, $\{|j\rangle\}$, & $\{|ij\rangle\}$)

$$A \otimes B = \begin{pmatrix} aB & bB \\ cB & dB \end{pmatrix} = \begin{pmatrix} ap & aq \\ ar & as \\ \dots & \dots \\ cr & cs \\ dr & ds \end{pmatrix}$$

Important special cases

$I \otimes A$ & $A \otimes I \sim$ action of A on 2nd (resp 1st) component space of $V_2 \otimes V_2$

"local operations" on subsystems of composites

(QM3) (physical evolution of quantum systems)

any physical (finite time) evolution of a quantum system is represented by a unitary (linear) operation on vector space of states.

"our version of Schrödinger's eqⁿ" \rightsquigarrow PDE infinite dim \sim Hamiltonian

finite dim version H hamiltonian is hermitian operator

on state space, evolⁿ eqⁿ if $i\hbar \frac{d|\psi\rangle}{dt} = H|\psi\rangle$

$$\text{solⁿ: } |\psi\rangle = e^{-i/\hbar Ht} |\psi_0\rangle \quad \leftarrow \text{initial state}$$

\uparrow
 matrix exponential

H hermitian $\Rightarrow e^{iA}$ always unitary

L3.4

Recall: U is unitary iff $U^{-1} = U^\dagger$

iff U maps any o.n. basis to an o.n. set of vectors

iff the columns (or rows) of matrix of U form an o.n. set of vectors

Partial inner products for vectors in $V \otimes W$

Any ket $|v\rangle \in V$ defines a linear map

$$V \otimes W \rightarrow W$$

called "partial inner product with $|v\rangle$ ",

defined on basis vectors $|e_i\rangle|f_j\rangle \in V \otimes W$

$$|e_i\rangle|f_j\rangle \mapsto \langle v|e_i\rangle|f_j\rangle$$

(similarly for $|w\rangle \in W \rightsquigarrow V \otimes W \rightarrow V$ map)

• if $V = W$, important to specify which space of $V \otimes V$ is being used

Example For $V = V_2$ and $|\xi\rangle = a|00\rangle + b|01\rangle + c|10\rangle + d|11\rangle$

Can form partial inner prod with $|0\rangle$ on either space

So first label spaces e.g. as $V_A \otimes V_B$, $V_A = V_B = V$

Then o.n. relations $\langle i|j\rangle = \delta_{ij}$ give:

$$\begin{aligned} {}_A\langle 0|\xi\rangle_{AB} &= a {}_A\langle 0|0\rangle_A |0\rangle_B + b {}_A\langle 0|0\rangle_A |1\rangle_B \\ &\quad + c {}_A\langle 0|1\rangle_A |0\rangle_B + d {}_A\langle 0|1\rangle_A |1\rangle_B \\ &= a|0\rangle_B + b|1\rangle_B \end{aligned}$$

"pick out terms of $|\xi\rangle_{AB}$ with 0 in A-slot"

$${}_B\langle 0|\xi\rangle_{AB} = a|0\rangle_A + c|1\rangle_A$$

(QM4) Quantum measurements (mnts) & Born rule

(QM4) Quantum measurement & Born Rule

Given single instance of a quantum state $|\psi\rangle$ of a physical system, state space V , $\dim n$.

Basic Born rule - complete projective mmt (or von Neumann mmt)

Let β be $\{|e_i\rangle, \dots, |e_n\rangle\}$ be any o.n. basis of V .

We can make a mmt on $|\psi\rangle$ relative to the basis β .

$$|\psi\rangle = \sum a_i |e_i\rangle$$

Possible outcomes are $j = 1, 2, \dots, n$

$$\text{prob}(j) = |\langle e_j | \psi \rangle|^2 = |a_j|^2$$

If outcome j seen, then after mmt the state is no longer $|\psi\rangle$, but has been "collapsed" to $|\psi_j\rangle = |e_j\rangle$.

So repeated mmt gives only the same result with certainty (j, j, j, \dots) NOT further samples of $|a_j|^2$ distribution.

"prob is squared length of projection of $|\psi\rangle$ onto the basis direction $|e_j\rangle$ "

& post-mmt state is that projection, renormalised"

"complete" above refers to the one-dim of orthogonal subspaces, defined by basis states

Incomplete proj mmts

Let $\{E_1, E_2, \dots, E_d\}$ be decomposition of V into d mutually orthogonal subspaces.

$V = E_1 \oplus \dots \oplus E_d$. Let π_i be proj onto E_i .

Then incomplete proj mmt of $|\psi\rangle$ wrt the orthogonal decomposition is the following quantum operation:

Possible outcomes are $j = 1, \dots, d$

$$\text{prob}(j) = \|\pi_j |\psi\rangle\|^2 = \langle \psi | \pi_j | \psi \rangle$$

← recall $\frac{\pi_j \pi_j}{\pi_j \pi_j} = \pi_j$

$$= \text{trace}(\Pi_j |\psi\rangle\langle\psi|)$$

L4.2

Post-meas state is the projected "collapsed" vector renormalised

$$|\psi_j\rangle = \frac{\Pi_j |\psi\rangle}{\sqrt{\text{prob}(j)}}$$

Example: Parity meas on 2-qubit

Parity of a 2-bit string b_1, b_2 is mod 2 sum $b_1 + b_2$

Parity meas on 2 qubits is incomplete meas with orthog

decompⁿ $E_0 = \text{span}\{|00\rangle, |11\rangle\}$

$$E_1 = \text{span}\{|01\rangle, |10\rangle\}$$

For $|\psi\rangle = a|00\rangle + b|01\rangle + c|10\rangle + d|11\rangle$

See 0 with $p(0) = |a|^2 + |d|^2$

& post-meas $\frac{a|00\rangle + d|11\rangle}{\sqrt{|a|^2 + |d|^2}}$

Measurement of 'quantum observables'

Quantum observable \mathcal{O} is hermitian operator on V .

So \mathcal{O} has real eigenvalues $\lambda_j, j=1, \dots, d$

orthogonal eigenspaces $\Lambda_j, \dim(\Lambda_j) = \text{multiplicity of } \lambda_j$

and $V = \Lambda_1 \oplus \dots \oplus \Lambda_d$

Meas of quantum observable \mathcal{O} is then just incomplete meas relative to this orthog decomposition.

Labelled by eigenvalue λ_j (not just j)

λ_j values \sim physical properties

e.g. have average value $\langle \mathcal{O} \rangle = \sum \lambda_j \text{prob}(j)$

But for purposes of providing information about state $|\psi\rangle$ (& post-meas state) the choice of labelling is immaterial.

Extended Born rule

- special case of an incomplete mmt
- measuring a component part of a composite system $S_1 S_2$

Suppose $|\psi\rangle$ is state of composite system $S_1 S_2$, state space $V \otimes W$. Let $\beta_V = \{|e_i\rangle, \dots, |e_n\rangle\}$ be o.n. basis of V .

[$\beta_W = \{|f_i\rangle, \dots, |f_m\rangle\}$ o.n. basis of W]

$|\psi\rangle$ can be uniquely expanded as

$$|\psi\rangle_{VW} = \sum_j |e_j\rangle_V |\xi_j\rangle_W$$

$|\xi_j\rangle$ are generally not normalized, not orthogonal

In fact $|\xi_i\rangle_W = \sum_j \langle e_j | \psi \rangle_{VW} |e_j\rangle_V$

$$\rightarrow |\psi\rangle \text{ normalised} \Rightarrow \sum_i \langle \xi_i | \xi_i \rangle = 1$$

Now e.g. we can perform a mmt of $|\psi\rangle$ relative to basis β_V of V ("complete mmt on V , but not on $V \otimes W$ ")

Outcomes $i=1, \dots, n$

& corresponding orthogonal subspaces (of $V \otimes W$)

$$\begin{aligned} E_i &= \text{span} \{ |e_i\rangle \otimes |\xi\rangle : |\xi\rangle \in W \} \\ &= |e_i\rangle \otimes W \end{aligned}$$

Corresponding projectors are $\Pi_i = |e_i\rangle\langle e_i| \otimes I_W$

& get $\text{prob}(i) = \langle \xi_i | \xi_i \rangle$

post-mmt state for i is $|\psi_i\rangle = \frac{|e_i\rangle_V |\xi_i\rangle_W}{\sqrt{\langle \xi_i | \xi_i \rangle}}$

product state

* According to (QM4) (i.e. all mmt rules) two different states with guaranteed (prob 1) different outcomes for some mmt must lie in orthogonal subspaces, i.e. must be themselves orthog. So non-orthogonal states, although physically different, can never be reliably distinguished ("as information") by any quantum process.

* If $|\psi\rangle$ has dim n , any mmt on it has at most n outcomes. But can get more outcomes by adjoining an ancilla $|A\rangle$, dim m (indep of $|\psi\rangle$)

& measure $|\psi\rangle|A\rangle$ jointly to get up to mn outcomes

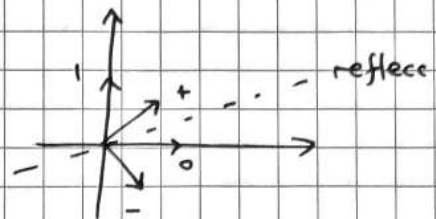
Basic unitary operations for qubits

- quantum gates

1 qubit gates

Hadamard gate $H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$, $HH = I$

so $H|0\rangle = |+\rangle$, $H|1\rangle = |-\rangle$



Pauli operations / gates

$I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$, $\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$,

$\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$, $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

all unitary & hermitian

mult. (group) properties

$\sigma_x^2 = \sigma_y^2 = \sigma_z^2 = I$, eigenvals = ± 1

all anti-commute

$\sigma_x \sigma_y = -\sigma_y \sigma_x = i\sigma_z$ & cyclic shifts

Real versions

$X = \sigma_x$, $Z = \sigma_z$, $Y = i\sigma_y = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$

Have: $X|0\rangle = |1\rangle$, $X|1\rangle = |0\rangle$

i.e. $X|k\rangle = |k \oplus 1\rangle$, $k=0,1$

← "X is quantum NOT"

X eigenbasis is $|+\rangle$, $|-\rangle$

$Z|0\rangle = |0\rangle$, $Z|1\rangle = -|1\rangle$, $Z|k\rangle = (-1)^k |k\rangle$

Z eigenbasis is $|0\rangle$, $|1\rangle$

"X basis & Z basis"

\uparrow
 $\{|+\rangle, |-\rangle\}$

\uparrow
 $\{|0\rangle, |1\rangle\}$

Phase gate $P(\theta) = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\theta} \end{pmatrix}$, ($Z = P(\pi)$)

controlled-X (aka controlled-NOT, CX, C-NOT)

$$\begin{aligned} CX|j\rangle|k\rangle &= |j\rangle|j \oplus k\rangle \\ &= |j\rangle X^j|k\rangle \end{aligned}$$

so $CX|0\rangle|\alpha\rangle = |0\rangle|\alpha\rangle$

$$CX|1\rangle|\alpha\rangle = |1\rangle X|\alpha\rangle$$

Matrix

$$CX = \begin{pmatrix} 1 & 0 & & \\ 0 & 1 & & \\ & & 0 & 1 \\ & & 1 & 0 \end{pmatrix} = \begin{pmatrix} I & 0 \\ 0 & X \end{pmatrix}$$

qubit 1 called control qubit

2 called target

asymmetrical roles of 2 qubits, so write CX_{12} if unclear

* write CX_{ab} for a = control qubit,
 b = target qubit

e.g. $CX_{21}|0\rangle_1|1\rangle_2 = |1\rangle_1|1\rangle_2$

$$CX_{12}|0\rangle_1|1\rangle_2 = |0\rangle_1|1\rangle_2$$

controlled-Z CZ

$$CZ_{12}|j\rangle|k\rangle = |j\rangle Z^j|k\rangle = (-1)^{jk}|j\rangle|k\rangle$$

↑
 $Z|k\rangle = (-1)^k|k\rangle$

Note $CZ_{12} = CZ_{21}$ symmetric

Matrix

$$CZ = \begin{pmatrix} I & 0 \\ 0 & Z \end{pmatrix}$$

Quantum states as information carriers

Recall: information ~ distinguishable states of a physical system

Classically: any two different states distinguishable, in principle

Quantumly: states are distinguishable reliably iff they're orthog

qubit: simplest system that can reliably encode
a classical bit

So if given quantum state $|\psi\rangle$

- impossible to identify its identity with certainty

e.g. $|0\rangle, |1\rangle$ as yes vs no

We call "what we get" quantum information

Given some quantum information $|\psi\rangle$ have

3 basic operations we can perform on it

(Ancilla): take a fixed known quantum state $|A\rangle$

& adjoin it to get $|\tilde{\psi}\rangle = |\psi\rangle|A\rangle$

$|A\rangle$ called ancilla

(Unitary): apply a unitary U of our choice

$|\psi\rangle$ becomes $U|\psi\rangle$

(Measure): can perform a mmt on (part of) $|\psi\rangle$

record the result & use post mmt for further q info pro

that can be chosen adaptively depending on mmt outcome

- output here is generically a prob mixture over post-mmt states, prob \sim Born rule

Most general action \sim any sequence of these 3 kinds of actⁿ

The no-cloning theorem

"q. info. cannot be copied or cloned"

copying of classical info is very familiar

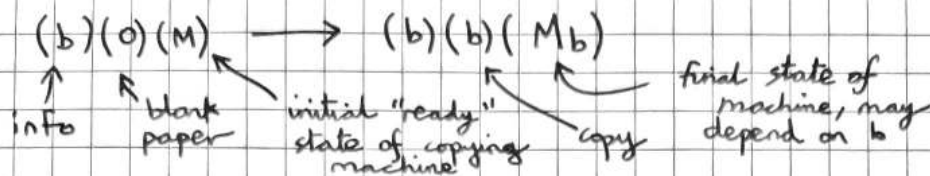
e.g. "photocopying" or more formally CNOT

Boolean $b_1, b_2 \mapsto b_1, (b_1 \oplus b_2)$

$$\begin{array}{ccc}
 (b)(0) & \xrightarrow{\text{CNOT}} & (b)(0 \oplus b) = (b)(b) \\
 \uparrow & \swarrow & \uparrow \\
 \text{qubit} & \text{blank state} & \text{the copy}
 \end{array}$$

More generally any "copying process" has the form

L5.4



Given quantum information $|\psi\rangle_A$

copy/cloning process is a quantum evolution process of ABM:

$$|\psi\rangle_A |0\rangle_B |M_0\rangle_M \rightarrow |\psi\rangle_A |\psi\rangle_B |M_\psi\rangle_M$$

B ~ quantum register, same dim A, initially in some "blank" fixed state (ψ indep) called $|0\rangle_B$

M register is any extra space needed (~ machine) initially in some "ready"-state $|M_0\rangle$ indep of $|\psi\rangle$

Final state of $|M\rangle$ may depend on $|\psi\rangle$ too

No-cloning theorem [unitary version]

Let S be any (known) set of quantum states of A that contains at least one pair $|\xi\rangle \neq |\eta\rangle$ of non-orthogonal states.

Then no unitary process exists that achieves cloning of all states in S.

No Cloning Theorem if $|\xi\rangle \neq |\eta\rangle$ are not orthogonal
(and the set $\{|\xi\rangle, |\eta\rangle\}$ is known)

then there is no unitary cloning process as above
(for $|\psi\rangle$ being either one of $|\xi\rangle$ or $|\eta\rangle$)

Remark · If $|\xi\rangle = |\eta\rangle$ then can done (trivially)

· If $|\xi\rangle \perp |\eta\rangle$ can done

e.g. qubit $|0\rangle, |1\rangle$ $CX|k\rangle|0\rangle = |k\rangle|k\rangle$

but $CX(a|0\rangle + b|1\rangle)(|0\rangle) = a|00\rangle + b|11\rangle$

$$\neq (a|0\rangle + b|1\rangle)(a|0\rangle + b|1\rangle)$$

For general $|\xi\rangle \perp |\eta\rangle$ first rotate to $|0\rangle \perp |1\rangle$ and done as above, rotate each back.

Remark Theorem is true for general quantum processes

i.e. arbitrary alternation of (ancilla), (unitary) & (mmt)

· for (ancilla) - can just include all ancillas at the start, regard as part of M-system

· for (measure) - see notes (optional)

- need cloning to occur on all probabilistic branches

Instead of mmt collapse: introduce a further quantum register for the mmt pointer with o.n. basis labelled by mmt outcomes

Proof of no-cloning theorem

Let $|\xi\rangle, |\eta\rangle$ be two different non-orthog states.

Then the cloning process must do:

$$|\xi\rangle_A |0\rangle_B |M_0\rangle_M \longrightarrow |\xi\rangle_A |\xi\rangle_B |M_\xi\rangle_M$$

$$|\eta\rangle_A |0\rangle_B |M_0\rangle_M \longrightarrow |\eta\rangle_A |\eta\rangle_B |M_\eta\rangle_M$$

unitary processes preserve inner products (IP)

so IP of LHS's = IP of RHS's

$$\text{i.e. } \langle \xi | \eta \rangle \langle 0 | 0 \rangle \langle M_0 | M_0 \rangle = \langle \xi | \eta \rangle^2 \langle M_\xi | M_\eta \rangle$$

Take absolute values & use $\langle 0 | 0 \rangle = \langle M_0 | M_0 \rangle = 1$

$$\text{Get } |\langle \xi | \eta \rangle| = |\langle \xi | \eta \rangle|^2 |\langle M_\xi | M_\eta \rangle|. \quad *$$

Since $|\xi\rangle \neq |\eta\rangle$ & not $|\xi\rangle \perp |\eta\rangle$ have

$$0 < \frac{1}{x} |\langle \xi | \eta \rangle| \leq \frac{1}{x}$$

So can cancel

$$1 = |\langle \xi | \eta \rangle| |\langle M_\xi | M_\eta \rangle|$$

contradiction \times since

$$|\langle \xi | \eta \rangle| \leq 1 \quad \& \quad |\langle M_\xi | M_\eta \rangle| \leq 1 \quad \square$$

Example (cloning & superluminal signaling)

No cloning theorem proved in 1982 - W. Wootters & W. Zurek
and D. Dieks

(also D. Park 1960 - went unnoticed)

in response to N. Herbert (1980)

- proposal for superluminal signalling in QM
- error was to assume cloning of quantum states

Herbert's method

Alice & Bob distantly separated in space
& share entangled quantum state

$$|\Psi^+\rangle = \frac{1}{\sqrt{2}} (|0\rangle_A |0\rangle_B + |1\rangle_A |1\rangle_B)$$

easy to check that also

$$|\Psi^+\rangle = \frac{1}{\sqrt{2}} (|+\rangle_A |+\rangle_B + |-\rangle_A |-\rangle_B)$$

A wants to communicate yes/no decision at noon - instantaneously

A's action for 'yes', A measures her qubit in $\{|0\rangle, |1\rangle\}$
'no', A " $\{|+\rangle, |-\rangle\}$

then Born rule \Rightarrow B's qubit is (instantaneously)

for 'yes' action 50/50 probability $|0\rangle$ or $|1\rangle$

'no' action 50/50 probability $|+\rangle$ or $|-\rangle$

! Fact: these yes/no preparations of $\#$ B's qubit
are indistinguishable by any local action at B

- for any measurement, output probabilities are the same in 2 cases

Indeed: let π_i be projection operator for outcome i in
a measurement for Bob

then in yes case: $\text{prob}_{\text{yes}}(i) = \frac{1}{2} \langle 0 | \pi_i | 0 \rangle + \frac{1}{2} \langle 1 | \pi_i | 1 \rangle$
 $= \text{Trace} \left[\pi_i \left(\frac{|0\rangle\langle 0| + |1\rangle\langle 1|}{2} \right) \right]$

in no case prob_{no} (i) = trace $\left[\pi_i \left(\frac{|+\rangle\langle+| + |-\rangle\langle-|}{2} \right) \right]$ ^{L6.3}

But (e.g. as 2×2 matrices)

$$|0\rangle\langle 0| + |1\rangle\langle 1| = |+\rangle\langle+| + |-\rangle\langle-| = I$$

So B cannot detect A's attempted signalling.

But: suppose B can clone quantum states!

Then at noon + ϵ he clones his qubit to make many copies
(e.g. 10^6)

Now in 'yes' case

all will be $|0\rangle$ or all will be $|1\rangle$

in 'no' case

all will be $|+\rangle$ or all will be $|-\rangle$

These can now be locally distinguished by B (easily):

B measures all copies in $\{|0\rangle, |1\rangle\}$ basis

if 'yes', see $00\dots 0$ or $11\dots 1$ with prob 1

if 'no' see uniformly random bitstring $b_1 b_2 \dots b_{10^6}$

- will be all 0 or all 1 with prob $\frac{2}{2^{10^6}} \approx 0$

Distinguishing non-orthogonal states

Given: unknown quantum state $|\psi\rangle$ (any dim d)

Promise: $|\psi\rangle$ is either $|\alpha_0\rangle$ or $|\alpha_1\rangle$ (distinct known states)

Problem: determine which, i.e. $i=0,1$ s.t. $|\psi\rangle = |\alpha_i\rangle$

Know we cannot do with certainty if $\langle\alpha_0|\alpha_1\rangle \neq 0$.

State estimation process

Given $|\psi\rangle$: · adjoin ancilla $|A\rangle$ to give $|\psi\rangle|A\rangle$

· then perform a unitary on full system

· then perform a mmt with outcomes 0 & 1

for our answer

Remark: It can be shown (as for no-cloning) that any possible sequence of (ancilla) (unitary) (measure) can be simulated by the restricted form above.

Simplifying the process

· adjoining $|A\rangle$ just changes discrimination of $|\alpha_0\rangle$ vs $|\alpha_1\rangle$ to that of $|\alpha_0\rangle|A\rangle$ vs $|\alpha_1\rangle|A\rangle$, with same inner product, just in a larger dim space

· Process M_1 : "doing U , then mmt with projectors Π_0, Π_1 " is equivalent to just performing M_2 ("U-rotated mmt")

with projectors $\tilde{\Pi}_i = U^\dagger \Pi_i U$, $i=0,1$ as they give the same Π_i

output probs on any state $|\xi\rangle$

$$\left. \begin{aligned} \text{prob}_{M_1}(i) &= (\langle\xi|U^\dagger)\Pi_i(U|\xi\rangle) \\ \text{prob}_{M_2}(i) &= \langle\xi|U^\dagger\Pi_i U|\xi\rangle \end{aligned} \right\} \text{equal}$$

· Hence our process is equivalent to just a single mmt

Given $|\alpha_0\rangle$ or $|\alpha_1\rangle$, perform a single mmt with projectors Π_i

Some mmts better than others for giving the correct answer with higher probability.

Introduce 'figure of merit' for a mm: the success prob P_S -
with no prior knowledge of which state we're getting
assume prior probs of half.

$$\text{Then } P_S = \frac{1}{2} (\text{prob}(\text{process outputs } 0 \mid |\alpha_0\rangle \text{ input})) \\ + \frac{1}{2} (\text{prob}(\text{process outputs } 1 \mid |\alpha_1\rangle \text{ input}))$$

so by Born rule

$$P_S = \frac{1}{2} (\langle \alpha_0 | \Pi_0 | \alpha_0 \rangle + \langle \alpha_1 | \Pi_1 | \alpha_1 \rangle)$$

Knowing $|\alpha_0\rangle$ & $|\alpha_1\rangle$ want to optimise P_S over all choices
of Π_0, Π_1 .

$$\text{Since } \Pi_0 + \Pi_1 = \mathbb{I}, \quad \Pi_1 = \mathbb{I} - \Pi_0$$

$$\text{so } P_S = \frac{1}{2} (1 + \langle \alpha_0 | \Pi_0 | \alpha_0 \rangle - \langle \alpha_1 | \Pi_0 | \alpha_1 \rangle) \\ = \frac{1}{2} (1 + \text{Tr}(\Pi_0 (|\alpha_0\rangle\langle\alpha_0| - |\alpha_1\rangle\langle\alpha_1|))) \quad (*)$$

Now calculate optimal $\{\Pi_0, \mathbb{I} - \Pi_0\}$.

Look more closely at

$$D = |\alpha_0\rangle\langle\alpha_1| - |\alpha_1\rangle\langle\alpha_0|$$

Properties: D is hermitian, so eigenvalues real

& has complete basis of o.n. eigenstates

• If $|\beta\rangle \perp |\alpha_0\rangle$ & $|\alpha_1\rangle$ then $D(|\beta\rangle) = 0$

so has only two non-zero eigenvalues

& eigenvectors are in span of $|\alpha_0\rangle, |\alpha_1\rangle$

• trace $D = 0$ so non-zero eigenvalues sum to 0

write them as $+\delta$ & $-\delta$ ($\delta > 0$)

with eigenstates $|p\rangle, |m\rangle$ respectively

$$\text{Hence } D = \delta |p\rangle\langle p| - \delta |m\rangle\langle m|$$

• to determine δ (in terms of $|\alpha_0\rangle$ & $|\alpha_1\rangle$)

work in 2-dim subspace of $|\alpha_0\rangle$ & $|\alpha_1\rangle$ & components:

Choose $|\alpha_0^\perp\rangle$ o.n. to $|\alpha_0\rangle$. In $\{|\alpha_0\rangle, |\alpha_0^\perp\rangle\}$ basis

$$|\alpha_1\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |\alpha_0\rangle = \begin{pmatrix} c_0 \\ c_1 \end{pmatrix} = c_0 |\alpha_0\rangle + c_1 |\alpha_0^\perp\rangle$$

so $c_0 = \langle \alpha_0 | \alpha_1 \rangle$ so $|c_1| = \sin \theta$ for $\cos \theta = |\langle \alpha_0 | \alpha_1 \rangle|$

$$\text{So } D = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \end{pmatrix} - \begin{pmatrix} c_0 \\ c_1 \end{pmatrix} \begin{pmatrix} c_0^* & c_1^* \end{pmatrix}$$

$$= \begin{pmatrix} 1 - |c_0|^2 & -c_0 c_1^* \\ -c_0^* c_1 & -|c_1|^2 \end{pmatrix}$$

$$\therefore -\delta^2 = -|c_1|^4 - |c_0|^2 |c_1|^2 = -|c_1|^2$$

$$\therefore \boxed{\delta = |c_1| = \sin \theta}$$

Finally returning to (*)

$$P_S = \frac{1}{2} + \frac{\delta}{2} \text{trace } \Pi_0 (|p\rangle\langle p| - |m\rangle\langle m|)$$

$$= \frac{1}{2} + \frac{\delta}{2} (\langle p | \Pi_0 | p \rangle - \langle m | \Pi_0 | m \rangle)$$

Now for any projector Π & state $|\xi\rangle$, $0 \leq \langle \xi | \Pi | \xi \rangle \leq 1$

↑
since this is
squared length
of $\Pi|\xi\rangle$

Hence P_S achieves its max value of $\frac{1}{2}(1+\delta) = \frac{1}{2}(1+\sin\theta)$

if Π_0 chosen to be any projector into a subspace

• containing $|p\rangle$ so $\Pi_0 |p\rangle = |p\rangle$

& • orthogonal to $|m\rangle$ so $\Pi_0 |m\rangle = 0$

(& then $\Pi_1 = I - \Pi_0$ has $\Pi_1 |m\rangle = |m\rangle$)

Such a choice of Π_0 is always possible since $|p\rangle \perp |m\rangle$

The achievable bound $P_S \leq \frac{1}{2}(1+\sin\theta)$

called Helstrom-Holevo bound (for pure states)

• In particular ancilla never needed, only inner product relevant

• If $|\alpha_0\rangle, |\alpha_1\rangle$ are qubit states ($\dim = 2$)

can work entirely in their 2-dim space

& optimal discriminating mmt will be a complete projective mmt
of quantum observable $D = |\alpha_0\rangle\langle\alpha_1| - |\alpha_1\rangle\langle\alpha_0|$

i.e. mmt relative to eigenbasis of D

Theorem (Helstrom-Holevo bound)

Given one of two equally likely states $|\alpha_0\rangle, |\alpha_1\rangle$ with $|\langle\alpha_0|\alpha_1\rangle| = \cos\theta$, then the prob P_S of correctly identifying the state by any quantum process (mmt) is bounded by $P_S \leq \frac{1}{2}(1 + \sin\theta)$ & bound is tight.

Remark: other discrimination scenarios possible

* unambiguous state discrimination

have mmt with 3 outcomes 0, 1, "fail"

if 0 seen: seen state certainly $|\alpha_0\rangle$

if 1 seen: state certainly $|\alpha_1\rangle$

if "fail" seen: process has failed (& generally lost all information)

The no-signalling principle

Alice & Bob distantly separated in space with local quantum systems A & B respectively. Initially AB in some joint quantum state (possibly entangled).

They can apply only local actions (on their own system)

The issue:

Suppose A does a complete mmt on her system.

By Born rule, for each mmt outcome k for A, state at B will "instantaneously" change (mmt collapse) to a corresponding post-mmt state $|\beta_k\rangle$.

Can B notice this change by just local action?

If 'yes' then get superluminal signalling!

But answer is no!

Local operations on a composite system $|\Psi\rangle_{AB}$

Let $\mathcal{H}_A, \mathcal{H}_B$ be state spaces of A, B

& $\mathcal{H}_{AB} = \mathcal{H}_A \otimes \mathcal{H}_B$.

(loc-unitary): a local unitary U by Alice resp Bob is represented on full system by $U_A \otimes 1_B$ resp $1_A \otimes U_B$

Note: local unitary operations on disjoint subsystems always commute

$$\begin{matrix} (U \otimes I) & (I \otimes V) \\ \text{2nd} & \text{1st} \end{matrix} = \begin{matrix} (I \otimes V) & (U \otimes I) \\ \text{2nd} & \text{1st} \end{matrix} = U \otimes V$$

simult

(loc-ancilla): Alice & Bob can adjoin local ancilla systems A', B' , which just enlarges their locally held system

(loc-measure): if Alice performs mmt on A with orthogonal subspaces E_a , projectors Π_a , outcomes a (so $\mathcal{H}_A = \bigoplus_a E_a$) then on full space it is represented by subspaces $E_a \otimes \mathcal{H}_B$, projectors $\Pi_a \otimes 1_B$ on \mathcal{H}_{AB}

Thus even a complete mmt on A (i.e. 1-dim E_a 's) will be incomplete mmt on AB (d -dim subspaces, $d = \dim \mathcal{H}_B$).

If Bob also does a local mmt (subspaces F_b , proj's Π_b , outcomes b) on B then by Born rule, the joint probs $p(a, b)$ obtained by performing both mmts is independent of who goes first, or whether they measure simultaneously.

(orthog subspaces $E_a \otimes F_b$)

$$\text{Since } (\Pi_a \otimes I)(I \otimes \Pi_b) = (I \otimes \Pi_b)(\Pi_a \otimes I) = \Pi_a \otimes \Pi_b$$

No-signalling theorem/principle

Suppose Alice & Bob have access only to subsystems A & B of a joint state $|\psi\rangle_{AB}$. Then Alice cannot convey any information to Bob by performing local operations.

i.e. no local action by Alice can change the output prob. distribution of any local quantum process by Bob.

Proof (consider key main case)

Consider basic case of B performing a complete mmt w.r.t. basis $\{|b\rangle_B\}$ outcomes b ,

& first: A does nothing.

Using this basis in \mathcal{H}_B can write:

$$|\psi\rangle_{AB} = \sum_b |\xi_b\rangle_A |b\rangle_B \quad (*)$$

Here $|\xi_b\rangle_A = \langle b|\psi\rangle_{AB}$ subnormalised states,

sum of squared lengths = 1

- called "conditional state of A given b "

or "relative state" (rel. to b)

and Born rule $\text{prob}(b) = \langle \xi_b | \xi_b \rangle$.

Now suppose Alice first does a complete mmt wrt basis $\{|a\rangle\}$, outcomes a , proj's $\Pi_a = |a\rangle\langle a|$. Then first, using $(*)$

$$\text{prob}(a) = \left\| \sum_b (\Pi_a |\xi_b\rangle_A) |b\rangle_B \right\|^2$$

& post-mmt for outcome a

$$|\psi_a\rangle_{AB} = \frac{1}{\sqrt{p(a)}} \sum_b (\Pi_a |\xi_b\rangle_A) |b\rangle_B \quad (+)$$

If now B does his mmt (& supposing A got a)

$\text{pr}(b|a) = \text{length sq of proj of } (t) \text{ onto } |b\rangle_B$
i.e. just the b term in sum

$$= \frac{\|\Pi_a |\xi_b\rangle\|^2}{\text{pr}(a)} = \frac{\langle \xi_b | \Pi_a | \xi_b \rangle}{\text{pr}(a)}$$

$$\text{So } \text{pr}(b) = \sum_a \text{pr}(a) \text{pr}(b|a)$$

$$= \sum_a \langle \xi_b | \Pi_a | \xi_b \rangle$$

$$= \langle \xi_b | \xi_b \rangle = 1$$

Remarks on other local actions

- clearly generalizes to incomplete A mmts, c.f. $\sum \Pi_a = 1_A$
- if A performs local unitary U_A first then by (*) this just changes $|\xi_b\rangle_A \mapsto |\xi'_b\rangle_A = U_A |\xi_b\rangle_A$
& $\langle \xi'_b | \xi'_b \rangle = \langle \xi_b | \xi_b \rangle$

so again B's probabilities are unchanged

- if A & B include local ancillas this just enlarges local spaces & shared state $|\varphi_{AB}\rangle \mapsto |\tilde{\varphi}\rangle_{(AA')(BB')}$

above arguments then still apply in enlarged scenarios

Quantum dense coding protocol

A & B distantly separated in space, each possesses one qubit of a $|\Psi^+\rangle$ state. A wants to send 2 bits of info to B:

A applies I, Z, X or Y to her qubit respectively giving $|\Psi^+\rangle, |\Psi^-\rangle, |\Phi^+\rangle, |\Phi^-\rangle$ for messages 00, 01, 10, 11 resp

A sends her qubit to B & B does a Bell mnt on the 2 qubits

Quantum teleportation

a protocol in "LOCC" paradigm

← local operations & classical communication

Alice & Bob distantly separated in space

- They can communicate classically
- They share entangled $|\Psi^+\rangle$ state
- Suppose A has another qubit in some state $|\alpha\rangle$ (possibly unknown to her)

They want to transfer this qubit to B.

- We know she cannot identify $|\alpha\rangle$ & tell him classically
- Suppose space in between is 'hostile'

Teleportation process:

A can transfer $|\alpha\rangle$ to B intact using the entanglement of $|\Psi^+\rangle$ "without the state having passed through the space in between" - in sense - transference unaffected by any physical action in the intervening space

Let qubit 1, 2, 3 be respectively:

Alice's input qubit $|\alpha\rangle$, Alice's qubit of $|\Psi^+\rangle$, Bob's qubit of $|\Psi^+\rangle$

So initial state is

$|\alpha\rangle, |\Psi^+\rangle_{23}$ A has 1, 2, B has 3

Write $|\alpha\rangle = a|0\rangle + b|1\rangle$. Then

$$\begin{aligned}
 |\alpha\rangle|\varphi^+\rangle &= (a|0\rangle + b|1\rangle) \frac{1}{\sqrt{2}} (|00\rangle + |11\rangle) \\
 &= \frac{a}{\sqrt{2}} |000\rangle + \frac{a}{\sqrt{2}} |011\rangle + \frac{b}{\sqrt{2}} |100\rangle + \frac{b}{\sqrt{2}} |111\rangle \quad (*)
 \end{aligned}$$

Then quantum teleportation protocol comprises 5 steps (or (3)+2 steps i.e. '3' steps):

- (i) A applies CX_{12} to her qubits 1,2
- (ii) A applies H_1 to qubit 1
- (iii) A measures her 2 qubits in computational basis
obtaining a 2 bit string ~~00~~, 01, 10 or 11

Note (i)(ii)(iii) together \equiv Bell mmt on 1,2

Calculate effects of (i), (ii)(iii) on (*)

$$(*) \rightarrow \frac{a}{\sqrt{2}} |000\rangle + \frac{a}{\sqrt{2}} |011\rangle + \frac{b}{\sqrt{2}} |110\rangle + \frac{b}{\sqrt{2}} |101\rangle \quad (CX_{12})$$

$$\rightarrow \frac{a}{\sqrt{2}} \left(\frac{|0\rangle + |1\rangle}{\sqrt{2}} \right) |00\rangle + \frac{a}{\sqrt{2}} \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) |11\rangle$$

$$+ \frac{b}{\sqrt{2}} \left(\frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) |10\rangle + \frac{b}{\sqrt{2}} \left(\frac{|0\rangle + |1\rangle}{\sqrt{2}} \right) |01\rangle \quad (H_1)$$

$$\stackrel{\text{collect}}{\text{in } 1,2} = \frac{1}{2} \left[|00\rangle (a|0\rangle + b|1\rangle) + |01\rangle (a|1\rangle + b|0\rangle) \right.$$

$$\left. + |10\rangle (a|0\rangle - b|1\rangle) + |11\rangle (a|1\rangle - b|0\rangle) \right]$$

$$\stackrel{!}{=} \frac{1}{2} \left[|00\rangle I|\alpha\rangle + |01\rangle X|\alpha\rangle + |10\rangle Z|\alpha\rangle + |11\rangle XZ|\alpha\rangle \right]$$

So by Born rule, outcome	post mmt state	prob
00	$ 00\rangle I \alpha\rangle$	$1/4$
01	$ 01\rangle X \alpha\rangle$	$1/4$
10	$ 10\rangle Z \alpha\rangle$	$1/4$
11	$ 11\rangle XZ \alpha\rangle$	$1/4$
$\frac{1}{2}$ --- $i\ j$	$\frac{1}{2}$ --- $ ij\rangle X^i Z^j \alpha\rangle$	$\frac{1}{4}$ ---

end me

Then after (i)(ii)(iii):

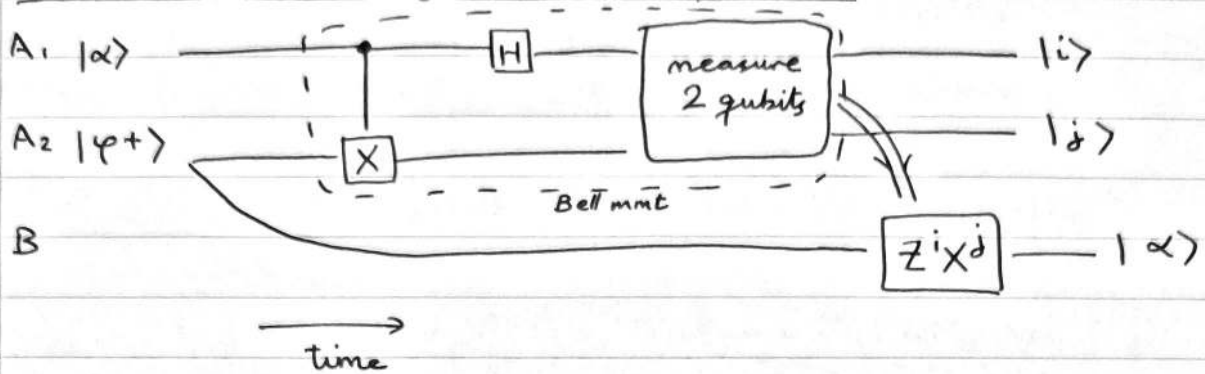
(iv) A sends the 2 bit outcome ij to B

(v) On receiving ij , Bob applies unitary operation $Z^i X^j$ (inverse)

L9.4

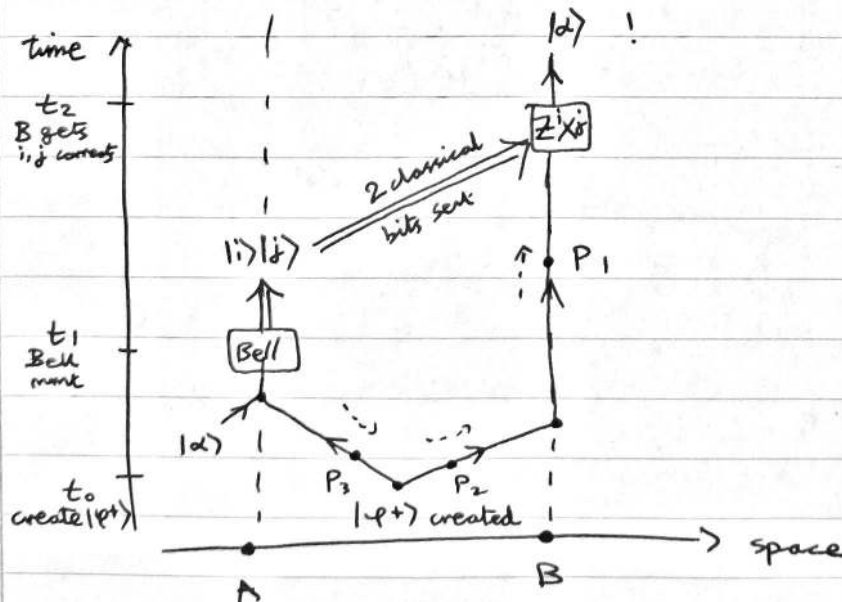
Then guaranteed for B to have state $|\alpha\rangle$.

Quantum circuit diagram for teleportation



Remarks

- After teleportation, A left with 2 qubit state $|00\rangle$ or $|01\rangle$ or $|10\rangle$ or $|11\rangle$ chosen uniformly at random
i.e. no information about $|\alpha\rangle$ remains with A
Thus process is consistent with no-cloning theorem
(in strong sense)
- Before A's mmt, B's qubit is right half of $|\psi^+\rangle$ state
Can show: for any complete mmt on it, any outcome has probability $\frac{1}{2}$. After A's mmt, B's qubit is in a state that's an equal (probs $\frac{1}{4}$) mixture of $|\alpha\rangle, X|\alpha\rangle, Z|\alpha\rangle, XZ|\alpha\rangle$
Averaging over these we know that outcome of any complete mmt has prob $\frac{1}{2}$, as before.
So teleportation is consistent with no signalling theorem



Quantum cryptography BB84 quantum key distribution (QKD)

Recall: use of non-orthogonal states to encode messages:

- * receiver cannot read message reliably
 - * any attempt to read message cause irreversible damage
 - !* same applies to any eavesdropper (Eve, E)
 - * can use this to get unconditionally secure communication (QKD)
- we'll discuss only one: Bennett - Brassard 1984 scheme

Context: secure communication (classically)

very long history ~ Caesar 100BC

substitution cipher

More generally: permutation cipher
 ^
 of the alphabet

Feature: . receiver & sender need to share a secret (i.e. the permutation)
 . but all such schemes are insecure;
 hard to guess permutation but compute table of frequency of symbols ~ characteristic of language

Still more sophisticated schemes...

- none provably secure except so-called one-time pad

Remark - public key crypto systems

- classical schemes (Diffie-Hellman scheme, RSA, elliptic curve crypto)

- currently used widely

advantages no need for shared secret!

receiver can openly publish encryption method, "public key", but only receiver can decrypt (having knowledge of "secret key")

Main disadvantage security relies on unproven (but widely believed) computational hardness assumptions - c.f. lecture 1

So(?) . in future better faster algorithm?

* quantum computing provides new kinds of algorithms

The one-time pad (classical)

Assume message is bitstring M of length n

For encryption/decryption A & B need to share secret private key K which is a uniformly random bitstring of length n too, known only to them.

A's encryption: add K to M bitwise mod 2

This gives ciphertext (cryptext) $C = K \oplus M$
which A sends to B.

B's decryption: on receiving C he computes $C \oplus K = M$

Note: If K uniformly random then so is C .

So any eavesdropper learns nothing (beyond the length n) of the message M by looking at C .

Important for security that key K used only once; hence "one-time pad"

e.g. if used twice to encode $C_1 = M_1 \oplus K$

$$C_2 = M_2 \oplus K$$

then $C_1 \oplus C_2$ (available to Eve) = $M_1 \oplus M_2$

\sim has info about M_1 & M_2 & hence also about K

Quantum key distribution (QKD)

A method for separated parties to establish a shared secret key over public classical & quantum channels. No need for intermediary/meeting

BB84: 4 signal states $|0\rangle, |1\rangle, |+\rangle, |-\rangle$

B92: uses 2 non-orthogonal states (see sheet 2)

E91: uses an entangled pair (e.g. $|\Psi^+\rangle$)
in place of BB84 states

BB84 QKD

A & B distantly separated in space

can communicate over classical & quantum channels

Eavesdropper E also has access to these channels

For quantum transmission we'll use for qubit states

$$|\psi_{00}\rangle = |0\rangle \quad |\psi_{01}\rangle = |+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$$

$$|\psi_{10}\rangle = |1\rangle \quad |\psi_{11}\rangle = |-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$$

giving 2 o.n. bases $B_0 = Z$ eigenbasis $\{|0\rangle, |1\rangle\}$

$B_1 = X$ eigenbasis $\{|+\rangle, |-\rangle\}$

a.k.a. conjugate bases // mutually unbiased bases

Bit value 0 will be encoded as $|0\rangle$ or $|+\rangle$ (randomly)

" 1 " " $|1\rangle$ " $|-\rangle$ (")
 \uparrow \uparrow
 basis 0 basis 1

so $|\psi_{ij}\rangle \sim$ bit value i encoded in basis j

and measures qubit i in basis $B_{y_i'}$ to get a result x_i'
 i.e. y_i' is B's guess at A's encoding basis y_i

Let $X' = x_1' x_2' \dots x_m'$ be string of B's measurement outcomes.

Note If $y_i' = y_i$ (B correctly chooses A's basis)
 then $x_i' = x_i$ too.

But if $y_i' \neq y_i$, then x_i' is completely uncorrelated to x_i
 * $y_i' = y_i$ happens with prob $1/2 =$ prob 2 bits chosen at random are equal, i.e. 00 or 11 & not 01 or 10

BB84 Step 3 Next A & B publicly reveal & compare their choices of bases i.e. strings Y & Y' (but keep secret X and X' strings)

They discard all bits x_i & x_i' for which $y_i \neq y_i'$ leaving shorter strings of expected length $m/2$.

Call these strings \tilde{X} & \tilde{X}' .

Under assumption of no noise & no eavesdropping these bits would provide the desired shared secret key.

In reality: always noise & possible eavesdropping too.

So have 2 further steps - purely classical.

BB84 Step 4 "information reconciliation"

A & B want to estimate bit error rate (BER)

i.e. number of bits in \tilde{X}' that differ from those in \tilde{X}

• they publicly compare a random sample of their strings - say half of the bits at randomly chosen positions

& discard all announced bits

& assume remaining bits have about same proportion of errors (albeit at unknown positions)

Next they want to correct these errors to obtain 2 strings that agree in high % of positions with high probability.

! - possible - sacrificing more bits, without giving everything away, if BER is not too large

BB84 Step 5 "privacy amplification"

From BER, A & B can estimate max amount of information that an eavesdropper can have about the remaining bits

From this information estimate they use classical techniques of privacy amplification with public discussion to obtain even shorter strings about which E can have practically no knowledge at all (with high prob.)

Many possible (quantum) eavesdropping strategies for E, including

* intercept-resend attack

E intercepts each passing qubit separately, measures it in some basis to try to acquire info about it & sends post-meas state on to B.

* general coherent attack

E has a (large) quantum probe system & unitarily interacts it with each passing qubit

Finally E can measure the probe to obtain (possibly joint) info about A's bits,

even postpone this until after listening to A & B's subsequent public discussion in steps 3, 4, 5

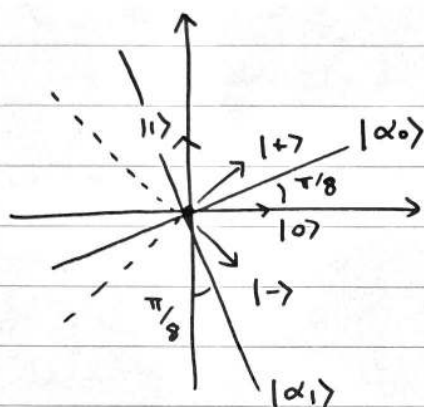
Example of intercept-resend attack

Assume quantum channel is noiseless but E intercepts each qubit & measures it in Breidbart basis:

$|\alpha_0\rangle = \cos \pi/8 |0\rangle + \sin \pi/8 |1\rangle \rightarrow$ E concludes A's bit was 0

$|\alpha_1\rangle = -\sin \pi/8 |0\rangle + \cos \pi/8 |1\rangle$ " 1

This is good choice - simultaneously "closest" to both BB84 encoding states for each bit value.



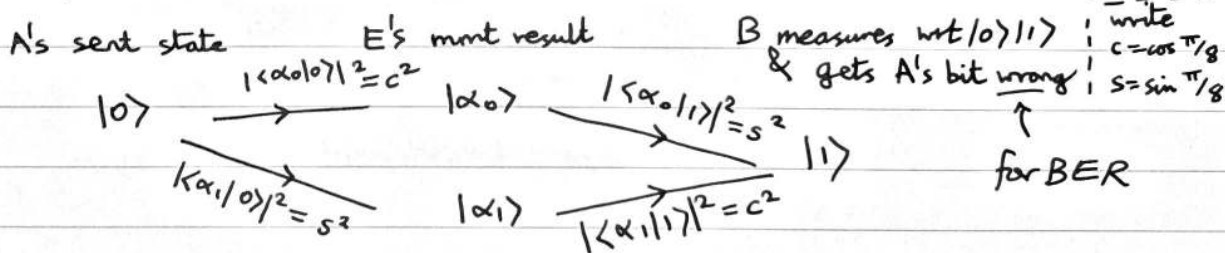
Sq. overlaps of both $|0\rangle$ & $|1\rangle$ with $|\alpha_0\rangle$ are $= \cos^2 \pi/8$
 sim. for $|1\rangle$ & $|-\rangle$ for $|\alpha_1\rangle$

So in every case E's mmt result will correctly be A's bit with prob $\cos^2 \pi/8 \cong 0.85 = \frac{1+\sqrt{2}}{2}$

Now calculate BER in \tilde{X} vs \tilde{X}' strings resulting from E's actions: we know B is measuring in same basis that A used to encode.

Four cases: of A sending $|0\rangle, |1\rangle, |+\rangle$ or $|-\rangle$ (each prob $\frac{1}{4}$)

(a) Suppose A sent $|0\rangle$. Then have probabilistic branching tree:



So in this case, $pr(\text{bit error}) = c^2 s^2 + s^2 c^2$
 $= \frac{1}{2} (4c^2 s^2)$
 $= \frac{1}{2} \sin^2(\frac{2\pi}{8})$
 $= \frac{1}{4}$

Other cases (A sends $|1\rangle, |+\rangle, |-\rangle$) give same BER $\frac{1}{4}$

So BER = $\frac{1}{4}$ overall

Example: idea of information reconciliation method

Book: S. Loepp & W. Wothers Cha 5

Knowing BER estimate.

- 1) apply a (public) random permutation to both strings
(randomize position of errors)
- 2) A & B break strings into blocks of suitable length (determined by BER) so it's unlikely that block contains 2 errors.
- 3) For each block A & B compute parity (bit sum mod 2)
- blocks with agreeing parity tentatively accepted as correct
(could still have 2 errors..)
- 4) For blocks with disagreeing parities, must have 1 or 3.. errors
- most likely 1
Break into 1st half / 2nd half blocks & repeat till 'offending' block is a single bit. Then B flips that erroneous bit.
- 5) Then A & B repeat the above many times, gradually reducing BER so block sizes increase
→ do until block length in 2) \approx length of whole string

Note: more information is leaked to E i.e. parities

but for block length k have $\sim \log_2 k$ halvings (parity values)
- exponentially less than block size k

Example - of privacy amplification

A & B share 3-bit string x_1, x_2, x_3

Suppose E knows 1 bit & nothing else

Fact: if E knows bit x but not bit y

then $x \oplus y$ is unif random to E

More generally if E knows some, but not all,

bits of a set, then she has no knowledge of parity of that set.

Now consider 2-bit strings y_1, y_2 :

$$y_1 = x_1 \oplus x_3, \quad y_2 = x_2 \oplus x_3$$

Then have table:

x	000	001	010	011	100	101	110	111
y	00	11	01	10	10	01	11	00

Then for any fixed value of any x_i , e.g. $x_2 = 0$
corresponding 4 y 's always are 00, 01, 10, 11

i.e. E has no knowledge of y 's.

[Also: consider $x = x_1 x_2 x_3 x_4$ & E knows 2 bits

$$\text{Try } y_1 = x_1 \oplus x_2 \oplus x_3, \quad y_2 = x_2 \oplus x_3 \oplus x_4,$$

$$y_1 \oplus y_2 = x_1 \oplus x_4, \quad E \text{ will know this if her 2 bits are } x_1, x_4]$$

Back to x_1, x_2, x_3

$$\text{Can write } \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \end{pmatrix}}_G \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} \equiv Gx$$

Rows of G define subsets of bits for parity sums

More generally can show

Thm IF E knows k bits of n (& nothing else)

then $m \times n$ ($m < n$) Boolean matrix G will produce
secret y 's (m of them) iff

min Hamming weight of code generated by G
is strictly $> k$

• code \equiv linear subspace of $(\mathbb{Z}_2)^n$, $\dim = k \leq n$
 \equiv span of k basis codewords in $(\mathbb{Z}_2)^n$
given by rows of G

• min weight of code = least Hamming weight of any $c \in C$

• Hamming distance of 2 codewords $c_1, c_2 \in C$
= # places in which they differ

= Hamming weight $c_1 \oplus c_2 \geq \text{min wt } w$

non-2000

Can extend these ideas to cover all kinds of E 's info

Universal hashing: given n bit string choose $m < n$ determined by BER, & random Boolean $m \times n$ G ($\sim m$ random subsets of n bits)

then w.h.p. E will have no info about m bit string $y = Gx$

with
high
probability

Computation & basic notions of computational complexity theory

Write $B = B_1 = \{0, 1\}$, $B_n = \{ \text{all } n\text{-bit strings} \}$

$B^* = \text{set of all finite length bit strings} = \bigcup_n B_n$

Computational task

• input: bit string $x = i_1 \dots i_n \in B_n$

* input size = $n = \text{length of input}$

• language $L \subseteq B^*$ (e.g. primes in binary)

* Decision problem: given $x \in B^*$, is $x \in L$

↖ 1 bit output

Computational model (classical)

many possible choices

important feature: a process with discrete steps, each requiring a fixed constant effort/resource to implement - not growing with n

e.g. elementary 1 or 2 bit Boolean gates

Circuit model (or gate array model)

For input $x = i_1 \dots i_n$ extend with extra 0 bits

↖ "extra working space"

$i_1 \dots i_n 0 \dots 0$

basic comp step: specified AND, OR, NOT gate applied to specified bits in list.

Note: these gates are universal i.e. any $f: B_n \rightarrow B_n$ can be constructed by a sequence of these steps

Computation is prescribed sequence C_n of these steps for each input size n .

Output is value of some designated bit(s) after final step.

C_n called Boolean circuit

In all, have circuit family (C_1, C_2, C_3, \dots)

Randomised (probabilistic) classical computation

As above, but initially extend $x = i_1 \dots i_n$ also by a sequence of random bits r_1, \dots, r_k . So start now with

$$i_1 \dots i_n r_1 \dots r_k 00 \dots 0$$

Each time circuit C_n is run, random bits are newly set initially uniformly at random

Output is probabilistic.

Then usually require output to be correct with "suitably good probability", as desired.

Complexity of a computational task

"consumption of resources as a function of n "

Resource taken to be

time $T(n)$ = number of computational steps
= circuit size = # of gates

space $Sp(n)$ = amount of memory / workspace needed

We'll consider time only.

* Main question: Does $T(n)$ grow polynomially or exponentially (super-poly) with n ?

• poly-time: write $T(n) = O(\text{poly}(n))$ or $O(n^k)$, some k
i.e. $\exists n_0$ & true const c such that $T(n) < cn^k$ for all $n \geq n_0$

Poly-time computations are "tractable / feasible in practice"

exponential (superpoly) time: "computable in principle but
but no feasible / computable in practice"

* poly vs expn time makes notion of complexity
robust against details of model used

e.g. binary vs decimal representation of inputs

• circuits vs TMs

• choice of universal gate set

• also has good properties for complexity considerations since sums & products of polys are still polys

$$n \log n = 2^{(\log n)^2}$$

or $2^{\sqrt{n}} < c^n$ any $c > 0$

Complexity classes of decision problem

P (poly time) - class of decision problems having (deterministic) poly-time algorithms

BPP (bounded error, probab, poly time) - class of decision problems with probabilistic poly-time algorithms, such that for every

input x $\text{Prob}(\text{answer correct}) > \frac{2}{3}$

($x \in L$: $\text{Pr}(\text{output} = 1) > \frac{2}{3}$

$x \notin L$: $\text{Pr}(\text{output} = 1) < \frac{1}{3}$)

"bounded error": $\frac{2}{3}$ bounded away from $\frac{1}{2}$

* efficient algorithm \equiv poly-time algorithm

Fact: can replace $\frac{2}{3}$ here by any const $\frac{1}{2} + \delta$, $0 < \delta < \frac{1}{2}$

& class BPP is same

Idea: if have $(\frac{1}{2} + \delta)$ algorithm, (δ small say)

repeat it K times, take majority vote as final answer

Chernoff bound of prob theory

$\Rightarrow \text{prob}(\text{maj vote correct}) > 1 - e^{-2\delta^2 K}$

so can be $>$ any $1 - \epsilon$ for suitable const K

(solve $e^{-2\delta^2 K} < \epsilon$)

& $K \times \text{poly} = \text{a poly}$ so "K-runs" process is still poly time

[Nielsen & Chuang book p154]

* BPP \sim classically feasible computations

"computable in practice"

clearly $P \subseteq BPP$ but unknown if $P = BPP$ or not

Poly time algorithms, classes P & BPPExample primality testinginput: is integer N in binaryinput size $n = \log_2 N$ Naive test-divide algorithm - not poly time
 \uparrow try divide by $2, 3, 4, \dots, \sqrt{N} \Rightarrow$ at least $\sqrt{N} = 2^{n/2}$ steps

 \uparrow if $N = ab$, a or b must be $\leq \sqrt{N}$

Known: primality testing is in BPP - Solovay-Strassen algorithm 1977

shown to be in P in 2004

Many more complexity classes

NP - see later

PSPACE: deterministic algorithms with poly spacei.e. length of input $i_1 \dots i_n 0 \dots 0$
 \leftarrow bounded \rightarrow
by $\text{poly}(n)$
Clearly $P \subseteq \text{PSPACE}$

Easy to see:

inclusions

$$P \subseteq NP \subseteq \text{PSPACE}$$

$$P \subseteq BPP \subseteq \text{PSPACE}$$

$$\uparrow \text{?}$$

None of the inclusions known to be strict

Quantum computation - circuit modelFor input $x = i_1 \dots i_n \in B_n$ start with qubits

$$|i_1\rangle |i_2\rangle \dots |i_n\rangle |0\rangle \dots |0\rangle$$

Computational steps are quantum gates on designated (few) qubits each. Commonly use

$$H, X, Z, P(\varphi) = \begin{pmatrix} 1 & \\ & e^{i\varphi} \end{pmatrix}, CX, CZ$$

output: quantum measurement in $\{|0\rangle, |1\rangle\}$ basis only
on specified qubits

Universal sets of gates

General U on n qubits \sim continuous parameters

so no finite quantum gate set can be (exactly) universal
 (finite circuits of finite set of gates \rightsquigarrow finite strings from finite alphabet \rightsquigarrow countably many)

So ask for

Approximate universality of a gate set \mathcal{G} :

For any $\epsilon > 0$ & any W on n qubits there is a circuit \tilde{W} of gates from \mathcal{G} such that

$$\|W - \tilde{W}\| < \epsilon \quad \text{Here } \|A\| \stackrel{\text{def}}{=} \max_{|\psi\rangle} \|A|\psi\rangle\|$$

\downarrow \uparrow \uparrow
 max $\|W|\psi\rangle - \tilde{W}|\psi\rangle\|$ operator norm vector norm

Size of circuit \tilde{W} generally exponential in # qubits n of W .

For dependence on accuracy:

Solovay-Kitaev theorem

For each fixed n , there is a poly P such that for all W on n qubits, size of circuit \tilde{W} is bounded by $P(\log 1/\epsilon)$

i.e. poly in # digits of accuracy

[Proof: Nielsen & Chuang appendix]

Facts: $\mathcal{G} = \{CX, \text{all 1-qubit gates}\}$ infinite set
 is exactly universal.

$$\mathcal{G} = \{H, CX, P(\frac{\pi}{4}) = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{pmatrix}\}$$

is approx universal

(See N&C §4.5)

Complexity class BQP (bounded error quantum poly time)

~ class of all decision problems that can be solved with poly-sized quantum circuit family, having $\text{prob}(\text{answer correct})$ at least $2/3$ in every case

"problems feasible/computable in practice on a quantum computer"

- BQP unchanged if $2/3 \rightsquigarrow$ any $\frac{1}{2} < \alpha < 1$
- BQP is indep of choice of approx universal gate set (see ExSh 3...)
- $BPP \subseteq BQP$ "quantum computing at least as strong as class"
- Is $BPP \subsetneq BQP$? Unproven, but believed.

Reversible version of any Boolean function $f: B_m \rightarrow B_n$

For any $f: B_m \rightarrow B_n$, $x \mapsto f(x) = y$, $x \in B_m$, $y \in B_n$

Consider $\tilde{f}: B_{m+n} \rightarrow B_{m+n}$

$$(x, y) \mapsto (x, y \oplus f(x))$$

\uparrow \uparrow
 called output
 input register
 register

* $f \leftrightarrow \tilde{f}$ easily either way

\oplus is addition of n -bit strings bitwise i.e. \oplus in $(\mathbb{F}_2)^n$

e.g.
$$\begin{array}{r} 011 \\ 110 \\ \oplus \\ 101 \end{array} \quad x \oplus x = 0 \quad \forall x$$

Lemma \tilde{f} always reversible, actually self-inverse

Proof recall $x \oplus x = 0 \quad \forall x$

then $(x, y) \xrightarrow{\tilde{f}} (x, y \oplus f(x)) \xrightarrow{\tilde{f}} (x, y \oplus f(x) \oplus f(x))$

$$= (x, y)$$

i.e. $\tilde{f} = (\tilde{f})^{-1}$. \square

Now if $g: B_k \rightarrow B_k$ is any invertible Boolean function then the linear map on k qubits defined on basis by

$$A_g: |x\rangle \mapsto |g(x)\rangle \quad \leftarrow \begin{cases} |x\rangle = |i_1\rangle \dots |i_k\rangle \\ |g(x)\rangle = |j_1\rangle \dots |j_k\rangle \end{cases}$$

for $f(i_1, \dots, i_k) = j_1, \dots, j_k$

For \tilde{f} write $A_{\tilde{f}}$ as U_f .

$$U_f |x\rangle |y\rangle = |x\rangle |y \oplus f(x)\rangle \quad \text{on } (m+n) \text{ qubits}$$

used to represent Boolean f 's f in quantum computing.

Computation by quantum parallelism

$$\text{Have } |x\rangle |0 \dots 0\rangle \xrightarrow{U_f} |x\rangle |f(x)\rangle$$

\uparrow m qubits \uparrow n qubits

So by linearity

$$\left(\frac{1}{\sqrt{2^m}} \sum_{\text{all } x} |x\rangle \right) |0 \dots 0\rangle \xrightarrow{U_f} \frac{1}{\sqrt{2^m}} \sum_{\text{all } x} |x\rangle |f(x)\rangle \equiv |f\rangle$$

* one run of U_f gives a state $|f\rangle$ all (expon many) values of f !

* Furthermore although $|\psi\rangle = \frac{1}{\sqrt{2^m}} \sum_{\text{all } x} |x\rangle$ has expon many terms it can be made easily by poly(m) (linear) effort - use m H 's

$$|0\rangle \xrightarrow{H} \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$$

$$\text{So } |0\rangle \dots |0\rangle \xrightarrow{H^{\otimes m}} \frac{1}{\sqrt{2^m}} (|0\rangle + |1\rangle) \dots (|0\rangle + |1\rangle) \equiv |\psi\rangle$$

Query complexity / promise problems

Instead of input $x = i_1 \dots i_n \in B_n$ or $|i_1\rangle \dots |i_n\rangle$, have:

* input is a given black box/oracle \mathcal{O}_f that computes some boolean function $f: B_m \rightarrow B_n$
(or U_f in quantum case)

- each use of oracle counts as one computational step
- may have a priori promise on form of f
- Problem: want to determine some property of f

* only access to f is via queries (inputs) to oracle

• computation starts on $|0 \dots 0\rangle$ or $|0 \dots 1\rangle$

Query complexity number of times that the oracle needs to be queried to solve the problem

Total time complexity total size of circuit, counting each oracle use as one gate.

Example (balanced vs constant problem)

Input: black box / oracle for a Boolean $f: B_n \rightarrow B = B_1$

Promise: f is either (a) a const function, $f(x) = 0 \forall x$
or $= 1 \forall x$

(b) 'balanced' i.e. exactly half of its 2^n values are 0 and 1

Problem: determine if f is (a) or (b) with certainty

classically: $2^{n/2} + 1$ queries are necessary & sufficient to solve with certainty

sufficiency: clear

necessary: suppose we have a deterministic classical algorithm \mathcal{C} claimed to work for any such f with $K \leq 2^{n/2}$ queries.

Then an adversary A can make \mathcal{C} fail:

when \mathcal{C} run with A , A has not yet chosen f but simply answers 0 to any query

At the end: it must correctly output 'balanced' or 'const'. But A has his f^n now specified on $K \leq 2^{n/2}$ x 's (all 0s) so is free to extend f to all x to be balanced or const to make \mathcal{C} 's output wrong

quantumly: 1 query suffices!

Deutsch-Jozsa algorithm (DJ) (1992)

Have $U_f |x\rangle |y\rangle = |x\rangle |y \oplus f(x)\rangle$

We use "phase kick back" to encode f^n values as \pm

rather than \uparrow 0/1s

$$|a\rangle (e^{i\theta}|b\rangle) = (e^{i\theta}|a\rangle)|b\rangle$$

! set output register (1 qubit) to $|-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) = H|1\rangle = HX|0\rangle$

Then note

$$\begin{aligned} |x\rangle \frac{|0\rangle - |1\rangle}{\sqrt{2}} &\xrightarrow{U_f} |x\rangle \left[\frac{|f(x)\rangle - |1 \oplus f(x)\rangle}{\sqrt{2}} \right] \\ &= \begin{cases} |x\rangle \frac{|0\rangle - |1\rangle}{\sqrt{2}} & \text{if } f(x) = 0 \\ |x\rangle \frac{|1\rangle - |0\rangle}{\sqrt{2}} & \text{if } f(x) = 1 \end{cases} \\ &= (-1)^{f(x)} |x\rangle |-\rangle \end{aligned}$$

Now do in superposition over all x 's

$$\frac{1}{\sqrt{2^n}} \sum_{\text{all } x} |x\rangle |-\rangle \xrightarrow{U_f} \left(\frac{1}{\sqrt{2^n}} \sum_{\text{all } x} (-1)^{f(x)} |x\rangle \right) |-\rangle$$

call $|\xi_f\rangle$

\downarrow discard (unentangled)

So 1 query gives $|\xi_f\rangle = \frac{1}{\sqrt{2^n}} \sum_{\text{all } x} (-1)^{f(x)} |x\rangle$

Key observation.

f const
all signs same

vs

f balanced
exactly half \pm signs

so $|\xi_{f \text{ const}}\rangle \perp |\xi_{f \text{ bal}}\rangle$

orthog: \Rightarrow can perfectly distinguish with a quantum mult

But: we allow mult only in standard basis

Recall: $|0\rangle \dots |0\rangle \xrightarrow{H^{\otimes n}} \frac{1}{\sqrt{2}} \sum_{\text{all } x} |x\rangle \xrightarrow{H^{\otimes n}} |0\rangle \dots |0\rangle$
as $HH = I$

so write $|\eta_f\rangle = H^{\otimes n} |\xi_f\rangle$

then $|\eta_{f \text{ const}}\rangle \perp \text{any } |\eta_{f \text{ bal}}\rangle$

and f const: $|\eta_f\rangle = \pm |0\rangle \dots |0\rangle$

f bal: $|\eta_f\rangle = \sum_{\substack{x \in B^n \\ x \neq |0\rangle \dots |0\rangle}} a_x |x\rangle$
some ampl

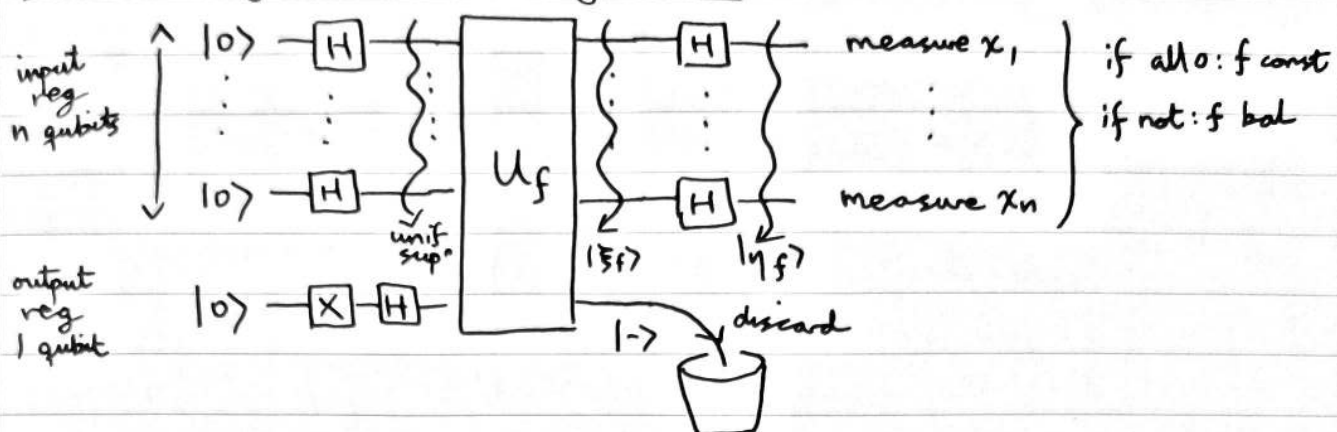
So mult of n qubits of $|\eta_f\rangle$ distinguishes f const (mult gives

L16.4

$0 \dots 0$ with certainty)

from f_{bal} (mint gives some $x \in B_n$ but never $x = 0 \dots 0$)

Circuit diagram for DJ algorithm



$$| \xi_f \rangle = \frac{1}{\sqrt{2^n}} \sum_{x \in B_n} (-1)^{f(x)} |x\rangle$$

Uses one query + $O(n)$ processing.

Remarks

(1) For some special balanced f 's ($2^n - 1$ of all $2^n C_{2^{n-1}}$ bal. functions), f_a labelled by $a \in B_n, a \neq 00 \dots 0$

get $| \eta_{f_a} \rangle = |a\rangle$ so final mmt gives a with certainty.

In fact $f_a(x) = a \cdot x = a_1 x_1 \oplus \dots \oplus a_n x_n$

\rightarrow see ExSh3 Bernstein-Vazirani problem/algorithm

(2) Can we decide any yes/no question about $f: B_n \rightarrow B_1$, by q . algorithm on $|f\rangle$ or few (poly(n)) queries to U_f ?

No! e.g. SAT problem (NP complete)

given $f: B_n \rightarrow B_1$, (no promise)

is there an x with $f(x) = 1$?

Can prove: any q . algorithm solving this with prob $1 - \epsilon$ (any $\epsilon > 0$) needs at least $O(\sqrt{2^n})$ queries to f .

(any classical algorithm needs at least $O(2^n)$ queries)

(3) If tolerate error in bal vs const problem i.e. answer correct with prob $1 - \epsilon$ (any $\epsilon > 0$)

DJ still applies \leadsto 1 query solution.

But now there is classical randomised algorithm with const # queries ($O(\log(1/\epsilon))$) so lose exponential separation of quantum over classical.

Classical algorithm

choose K (fixed) x values x_1, \dots, x_K uniformly at random, & evaluate $f(x_1), \dots, f(x_K)$ (K queries)

If all same: output "const"

If not: output "balanced"

If f was const: answer correct prob 1

If f was bal: each $f(x_i) = 0/1$ prob $1/2$ each

so prob (all 0 or all 1) = $2/2^K = \text{prob}(\text{wrong})$

then $\frac{2}{2^K} < \epsilon$ if $K > \log_2(1/\epsilon) + 1$

But Simon's algorithm/problem (1994) \rightarrow ExSh 3

\rightarrow a provable exponential separation of query complexity with bounded error

Oracle: $f: B_n \rightarrow B_n$

Promise: f either (a) f one-to-one

(b) f two-to-one such that there is

$\xi \in B_n$ s.t. $f(x \oplus \xi) = f(x) \quad \forall x$

(recall $\xi \oplus \xi = 00 \dots 0$)

Sheet 3 \rightarrow quantum algorithm with $O(n)$ queries.

DJ \rightarrow Simon's \rightarrow Shor's factoring algorithm

(4) oracle problem "no access to inside/workings" of oracle

- unrealistic?

- would like a standard comp. task ...

- none (provably) known!

Periodicity problem

Given $f: \mathbb{Z}_N \rightarrow Y$ (oracle, but can be formula too)

Promise f is periodic $f(x+r) = f(x)$, least r , all x
 \uparrow
 $+ \text{mod } N$

(so r divides N : $N = Ar$, integer $A = \#$ periods)

will also require that f is 1-1 in each period

$$f(x_1) \neq f(x_2), \quad 0 \leq x_1 < x_2 < r$$

Problem find r (with any const level of probability $1 - \epsilon$,
 $\epsilon > 0$ indep of N)

Note generally r can be $O(N)$ as N increases

Fact classically $O(\sqrt{N})$ queries are necessary and sufficient
 \sim "collision problem"

! will show: quantumly $O(\log \log N)$ queries

+ $O(\text{poly}(\log N))$ further processing steps suffice.

later: will reduce factoring of integer K to periodicity problem with $N \approx K^2$, so input size $n = O(\log K)$ for factoring so above algorithm is poly-time in n .

Quantum algorithm for periodicity determination

Have quantum oracle for $f: \mathbb{Z}_N \rightarrow \mathbb{Z}_M$

$$U_f |x\rangle |y\rangle = |x\rangle |y + f(x) \text{ mod } M\rangle$$

1) Make $\frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle$ (e.g. $QFT_N |0\rangle$ gives this)

2) Use 1 query to get

$$|F\rangle = \frac{1}{\sqrt{N}} \sum_{\text{all } x} |x\rangle |f(x)\rangle$$

Now recall $r|N$, $N = Ar$, $A = \#$ periods

3) Measure 2nd register, see some value $y = f(x_0)$

with x_0 least x with $f(x) = y$ i.e. in 1st period

So one such x value in each period

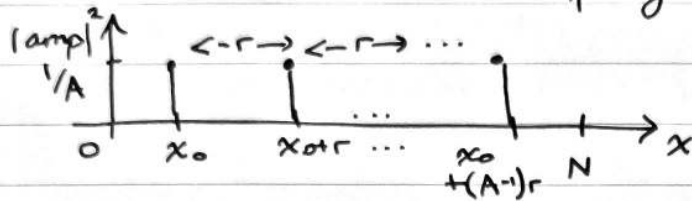
$$x_0, x_0 + r, x_0 + 2r, \dots, x_0 + (A-1)r$$

So 1st register collapsed to

$$|\text{per}\rangle = \frac{1}{\sqrt{A}} \sum_{j=0}^{A-1} |x_0 + jr\rangle$$

* here x_0 has been chosen unif at random from $0, 1, \dots, r-1$
 i.e. in 1st period

since all f^h values occur equally often



? If we measure $|per\rangle$ we select a random j value $\leadsto x_0+jr$
 so get a random element x_0^{th} of a random period (j^{th})
 \equiv uniformly random x in $0, 1, \dots, N-1$ i.e. useless!

Resolution: apply QFT_N first!

4) Apply QFT to $|per\rangle$

Recall QFT: $|x\rangle \rightsquigarrow \frac{1}{\sqrt{N}} \sum_y \omega^{xy} |y\rangle$, $\omega = e^{2\pi i/N}$

$$\begin{aligned} \text{So } QFT |per\rangle &= \frac{1}{\sqrt{NA}} \sum_{j=0}^{A-1} \left[\sum_{y=0}^{y_{N-1}} \omega^{(x_0+jr)y} |y\rangle \right] \\ &= \frac{1}{\sqrt{NA}} \sum_{y=0}^{N-1} \omega^{x_0 y} \left[\sum_{j=0}^{A-1} \omega^{j y r} \right] |y\rangle \end{aligned}$$

Now look at last [...]

[...] is geom series $1 + \alpha + \dots + \alpha^{A-1}$, $\alpha = \omega y r = e^{2\pi i r y / N}$
 $= (e^{2\pi i / A})^y$

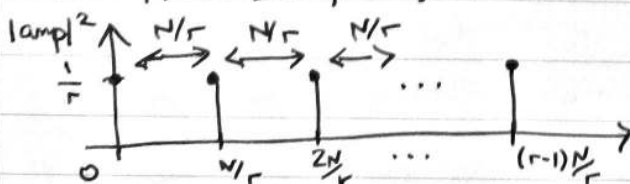
If $\alpha \neq 1$, (i.e. iff y is not a multiple of A) $\uparrow \alpha^A = 1 \forall y$
 $[...] = \frac{1 - \alpha^A}{1 - \alpha} = 0$

If $\alpha = 1$, (i.e. iff y is mult of A)
 $[...] = A$

$$\text{So } QFT |per\rangle = \sqrt{\frac{A}{N}} \sum_{k=0}^{r-1} \omega^{x_0 k (N/r)} |k \frac{N}{r}\rangle$$

$\nwarrow \frac{1}{\sqrt{r}}$

Random shift x_0 now in phase not in labels, so
 $|amp|^2$ indep of x_0 !



5) Now measure label: will obtain c which is a multiple $k_0 N / r$
 $0 \leq k_0 \leq r-1$ chosen unif at random

$$c = k_0 \frac{N}{r}$$

$$\begin{array}{c} \text{unknown,} \\ \text{but} \\ \text{unif} \\ \text{random} \end{array} \rightarrow k_0 = \frac{c}{N} \leftarrow \text{know}$$

$$\begin{array}{c} \rightarrow r \\ \text{want} \end{array} = \frac{c}{N} \leftarrow \text{know}$$

6) To get r , use some (purely classical) number theory:

* suppose (by good luck) k_0 coprime to r

then we cancel c/N to lowest terms \tilde{c}/\tilde{r}

[Euclid's algorithm for hcf, & divide it out, all in
 poly($\log N$) time]

& read off r as resulting denominator \tilde{r}

how likely to be so lucky? Quote/use:

Thm (coprimality)

The # integers $< r$ that are coprime to r

grows as $O\left(\frac{r}{\log \log r}\right)$ [$\sim e^{-\gamma} r / \log \log r$] as $r \rightarrow \infty$

$$\gamma = \lim_{n \rightarrow \infty} \left(\sum_{k=1}^n \frac{1}{k} - \log n \right)$$

↑
woopsie!

So if k_0 chosen unif at random $< r$,

$$\text{prob}(k_0 \text{ coprime to } r) \sim O\left(\frac{1}{\log \log r}\right) > O\left(\frac{1}{\log \log N}\right)$$

* can check if \tilde{r} is true period:

evaluate $f(0)$ & $f(\tilde{r})$, see if same

(if k_0 was not coprime then $\tilde{r} < r$ (\tilde{r} a factor of r))

So get period r with prob $O\left(\frac{1}{\log \log N}\right)$ & 3 queries

Lemma (basic prob theory)

If an event has success prob p & given any $0 < 1 - \epsilon < 1$

then for M trials, prob(at least one success) $> 1 - \epsilon$

if $M = -\log \epsilon / p$ i.e. $O(1/p)$ for const. ϵ

Proof want prob(all fail) = $(1-p)^M < \epsilon$

$$\text{so } M > -\log \epsilon / -\log(1-p) \gtrsim -\log \epsilon / p$$

L18.4

So repeat g algorithm $O(\log \log N)$ times
(& check each time \tilde{r} value)

will get r with any const level $1-\epsilon$ of prob e.g. 0.9999

Each repeat uses 3 queries (f , $f(0)$, $f(\tilde{r})$)

i.e. (i) c is certificate / proof of membership
& checkable in poly time

(ii) if x not true member, cannot be fooled into accepting it

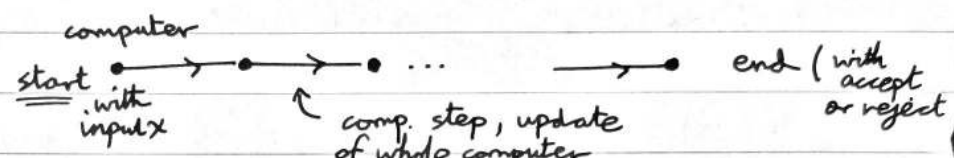
Clearly $P \subseteq NP$

SAT $\in NP$

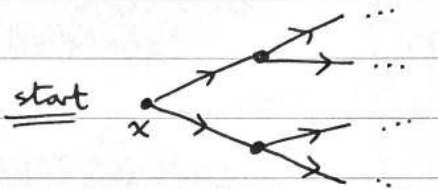
Note: asymmetric in $x \in L$ vs $x \notin L$!

2nd def of NP \sim non-deterministic computation

Pictorially

deterministic: 

non-deterministic:

 * at each stage computer can branch into 2 differed computational paths / step
* all branches done in \parallel (NOT probab choice)

so after k steps, can have 2^k computations running in \parallel
(unphysical comp. model)

Poly time: all paths run in poly time

- each halting with accept or reject

- may get both answers for x , on different paths

Definition: the computation accepts x if at least one path accepts
rejects if all paths reject

2nd def of NP: NP = class of languages accepted by a non-deterministic poly-time computation

\leftarrow clearly $P \subseteq NP$

Thm def 1 equivalent to def 2

Proof idea poly-time verifier $V(x, c) \rightsquigarrow$ non-det poly-time computation N

• V runs in poly $|x|$ time \Rightarrow at most poly $|x|$ bits of c can be relevant

So on input x , N 1st branches into $2^{\text{poly}(|x|)}$ branches,
each path writing a c -string next to x
then N just runs $V(x, c)$ in each path \checkmark

poly-time non-det $N \rightarrow$ poly-time $V(x, c)$

if $x \in L$, then \exists branch of N that accept
& $x \notin L$, all branches reject

so label paths by bit strings b_1, b_2, \dots, b_k , $k = \text{depth of tree}$

$b=0 \rightarrow$ "go up", $b=1 \rightarrow$ "go down"

Let $c =$ label of any path

Then $V(x, c)$ is poly time det computation that runs the single
 c^{th} branch of N on x

NP to quantum computing

Computing in quantum superposition looks (superficially) like non-deterministic computation!

but mult theory causes a problem

e.g. SAT for $f: B_n \rightarrow B_1$

easy access to exponential "quantum branching"

$$|0\rangle \dots |0\rangle \xrightarrow{H^{\otimes n}} \frac{1}{\sqrt{2^n}} \sum_{x \in B_n} |x\rangle$$

then get $|f\rangle = \frac{1}{\sqrt{2^n}} \sum_{x \in B_n} |x\rangle |f(x)\rangle$

But cannot easily decide SAT from $|f\rangle$

e.g. $f_0(x) = 0$ for all x

$$\text{vs } f_1(x) = \begin{cases} 0 & \text{all } x \neq x_1 \\ 1 & x = x_1 \text{ (unique)} \end{cases}$$

STA reject f_0 , accept f_1

Then $|f_0\rangle$ & $|f_1\rangle$ exponentially close as vectors

$$\| |f_0\rangle - |f_1\rangle \| \sim \frac{1}{\sqrt{2^n}}$$

so output prob distributions for any mult expon. close too

\Rightarrow need expon many samples to distinguish them

will see: for SAT: classically $O(2^n)$ queries neces & suff
quantumly $O(\sqrt{2^n})$ "

Structured vs unstructured search

Searching can be greatly helped by structuring the search space

e.g. finding an item in a linearly ordered database, size 2^n

- classically n queries necessary & sufficient

by binary search

[Quantumly: optimal no. queries not known: but know

$$0.220n \leq \# \text{ q. queries} \leq 0.526n$$

unstructured search: if we query any x in database, then get no info about good/badness of any other y in database

Unstructured search problem for a unique good item

Given: unstructured database with $N = 2^n$ items containing unique good item [or no good item]

Problem: find good item [or determine if one exists]

with prob $1 - \epsilon$ any const $\epsilon > 0$

Classically $O(N)$ queries are necessary & sufficient

[can assume good item has been uniformly at random

So in k queries $\text{pr}(\text{good seen}) = k/N$ so $k = O\left(\frac{1}{\epsilon}\right)$ to make prob const.]

Quantumly: Grover's algorithm: $O(\sqrt{N})$ queries suffice

(Grover 1996) & $O(\sqrt{N})$ are necessary [many proofs, first 1994]

Database will be represented by oracle $f: B_n \rightarrow B_1$

a lookup / good-bad test \equiv a query

Promise: there is unique $x_0 \in B_n$ with $f(x_0) = 1$

(& $f(x) = 0$ for all $x \neq x_0$)

Problem: find x_0

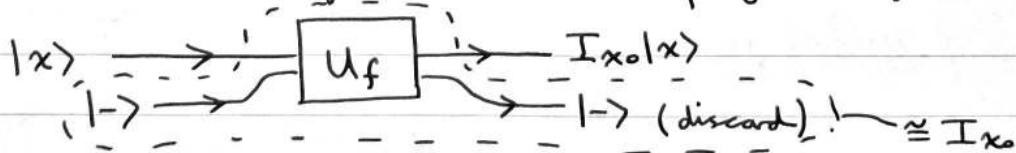
Usual quantum oracle $U_f |x\rangle |y\rangle = |x\rangle |y \oplus f(x)\rangle$ on $(n+1)$ qubits

We'll use instead I_{x_0} on n qubits

$$I_{x_0} |x\rangle = \begin{cases} |x\rangle & \text{if } x \neq x_0 \\ -|x\rangle & \text{if } x = x_0 \end{cases}$$

formula $I_{x_0} |x\rangle = I |x\rangle - 2|x_0\rangle\langle x_0| |x\rangle$

Implement: one use of I_{x_0} from one query to U_f



More generally we'll need reflections

For any state $|\alpha\rangle$

$\Pi_{|\alpha\rangle} = |\alpha\rangle\langle\alpha|$ is projⁿ onto 1-dim subspace of $|\alpha\rangle$ in

$I_{|\alpha\rangle} =: I - 2|\alpha\rangle\langle\alpha|$ is reflection in mirror hyperplane $\perp |\alpha\rangle$

$$I_{|\alpha\rangle} |\alpha\rangle = -|\alpha\rangle \quad (|\alpha\rangle \perp \text{mirror})$$

& any $|\beta\rangle \perp |\alpha\rangle$: $I_{|\alpha\rangle} |\beta\rangle = |\beta\rangle - 2|\alpha\rangle \langle \alpha | \beta \rangle$
↑ i.e. in mirror ↑ zero
 $= |\beta\rangle$

For computational basis states of n qubits,
write $I_{|x\rangle}$ as I_x

$I_{|0\dots 0\rangle}$ as $I_{0\dots 0}$ or just I_0

$$H_n = H \otimes \dots \otimes H, \quad N = 2^n$$

Grover's algorithm
quantum searching

work on n qubits

• start with $|\psi_0\rangle = H_n |0\dots 0\rangle = \frac{1}{\sqrt{2^n}} \sum_{\text{all } x} |x\rangle$

! • consider Grover iteration operator on n qubits

$$Q = -H_n I_0 H_n I_{x_0}$$

↑
 x_0 unknown
 1 query to oracle
 needed for I_{x_0}

($|\psi_0\rangle$ & Q have only real components/entries
 so will get direct geom interpretation in Euclidean \mathbb{R}^N)

Will show: Let $P(x_0) =$ (real) plane of $|x_0\rangle$ & $|\psi_0\rangle$

Then

* (Q1): In $P(x_0)$, Q is rotation

through 2α with $\sin \alpha = \frac{1}{\sqrt{N}} = \langle x_0 | \psi_0 \rangle$

(Q2): In orthogonal complement $P(x_0)^\perp$, $Q = I$

So: * repeatedly apply Q to $|\psi_0\rangle$ to rotate it near to x_0

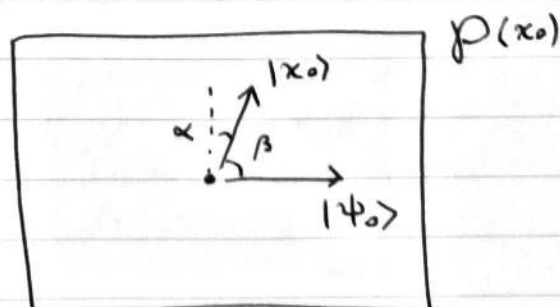
(in $P(x_0)$) & then measure

Initial angle:

$$\cos \beta = \langle x_0 | \psi_0 \rangle = \frac{1}{\sqrt{N}} \quad (\text{indep of } |x_0\rangle)$$

$$\text{so \# iterations} = \frac{\arccos(\frac{1}{\sqrt{N}})}{2 \arcsin(\frac{1}{\sqrt{N}})} = \frac{\beta}{2\alpha}$$

Generally not an integer, so



$$\cos \beta = \frac{1}{\sqrt{N}} = \sin \alpha = \langle \psi_0 | x_0 \rangle$$

L20.4

use nearest integer, then $|\psi_0\rangle$ will be rotated within angle $\pm \alpha$
 so most gives x_0 with prob $\sim 1 - O(\frac{1}{N})$ since α small,

$$\sin \alpha \approx \frac{1}{\sqrt{N}} \quad \cos^2 \alpha \approx 1 - \frac{1}{N}$$

For large N , $\beta \approx \frac{\pi}{2}$, $\alpha \approx \frac{1}{\sqrt{N}}$ ($\sin \alpha \approx \alpha$ small α)

$$\# \text{ iterations} \approx \frac{\pi/2}{2(\frac{1}{\sqrt{N}})} = \frac{\pi}{4} \sqrt{N}$$

Example $N=4$ ($n=2$)

initial angle $\cos\beta = \frac{1}{\sqrt{4}} = \frac{1}{2}$ so $\beta = \pi/3$

$$2\alpha = 2\arcsin\left(\frac{1}{2}\right) = 2\pi/6 = \pi/3 !$$

So 1 iteration of Q will map $|\psi_0\rangle$ exactly onto $|x_0\rangle$

! i.e. can find 1 in 4 with certainty with only one query!

To prove claimed properties of Q

• Note first: for any U , $I|\psi\rangle = I - 2|\psi\rangle\langle\psi|$

$$U I |\psi\rangle U^\dagger = \underbrace{U I U^\dagger}_I - 2 U |\psi\rangle \langle\psi| U^\dagger = I - 2 U |\psi\rangle \langle\psi| U^\dagger = I - 2 |U\psi\rangle\langle U\psi|$$

Now $H_n = H_n^\dagger$ & $|\psi_0\rangle = H_n |0\dots 0\rangle$

$$\text{So } Q = -H_n I_0 H_n I |x_0\rangle = -I |\psi_0\rangle I |x_0\rangle$$

• Next note for any $|\psi\rangle, |\xi\rangle$

$$I |\psi\rangle |\xi\rangle = |\xi\rangle - 2 |\psi\rangle \langle\psi| \xi\rangle$$

modifies $|\xi\rangle$ by some mult of $|\psi\rangle$

So $Q|\xi\rangle = -I |\psi_0\rangle I |x_0\rangle |\xi\rangle$ modifies $|\xi\rangle$ first by a mult of $|x_0\rangle$, then by a mult of $|\psi_0\rangle$

So if $|\xi\rangle \in \mathcal{P}(x_0)$ then $Q|\xi\rangle \in \mathcal{P}(x_0)$ too

i.e. Q preserves $\mathcal{P}(x_0)$

Action of Q in $\mathcal{P}(x_0)$:

In 2dim $\mathcal{P}(x_0)$

$I |x_0\rangle$ is reflection in mirror line $\perp |x_0\rangle$

$I |\psi_0\rangle$ " $\perp |\psi_0\rangle$

Facts about 2D Euclidean geome

① If R is reflection in mirror M along $|M\rangle$, then $-R$ is reflection in mirror M^\perp along $|M^\perp\rangle$

any vector $\sim a|M\rangle + b|M^\perp\rangle$

$$R: \begin{array}{l} a \mapsto a \\ b \mapsto -b \end{array} \quad \text{so } -R: \begin{array}{l} a \mapsto -a \\ b \mapsto b \end{array} \quad \equiv \quad \begin{array}{l} \text{refl} \\ \text{in } M^\perp \end{array}$$

- f is 1-1 in each period
- f is efficiently computable in $\text{poly}(\# \text{ digits of } k)$
 - $f(k) = a^k$ not by $a^k = \underbrace{a \times a \times \dots \times a}_k$!
 - see sheet 3 Q2

• classically - hard to find r even though we have very simple formula
 Legendre (~1800): knowing $r \rightsquigarrow$ can factor N

Suppose we can find r & suppose r is even

$$\text{Then } (a^r - 1) \equiv (a^{r/2} - 1)(a^{r/2} + 1) \equiv 0 \pmod{N}$$

so N exactly divides the product,

not all into 1st (i.e. $a^{r/2} - 1$) as r was least

So if N does not all go into $(a^{r/2} + 1)$

then $\text{hcf}(N, a^{r/2} \pm 1)$ are non-trivial factors of N

↖ Euclid again

So need (1) r even & (2) $a^{r/2} \not\equiv -1 \pmod{N}$

Theorem: if N is odd & not a prime power

and $a < N$ chosen unif at random,

then $\text{prob}(\textcircled{1} \ \& \ \textcircled{2} \ \text{hold}) \geq \frac{1}{2}$ (actually $\geq 1 - \frac{1}{2^{m-1}}$,
 $m = \# \text{ distinct prime divisors of } N$)

Proof - see 3 refs in notes

- So repeat K times $\rightarrow \text{Prob}(\text{fail to get factor}) < \frac{1}{2^K}$
 expon small in K
- If $N = c^l$, $c, l \geq 2$ then there's a poly-time classical algorithm that computes c .

How to find r ? - use q . period finding algorithm

$f(k) = a^k \pmod{N}$ periodic on \mathbb{Z} - infinite domain !

Need to work on finite domain but don't know r .

- so f will not be exactly periodic on a truncated domain.

Will work on

$$D = \{0, 1, \dots, 2^m - 1\} = \mathbb{Z}_{2^m}$$

for $2^m = \text{least power of } 2 > N^2$ (!!)

then have $2^m = Br + b$, $0 \leq b < r$

so have $B > N$ full periods (as $r < N$)

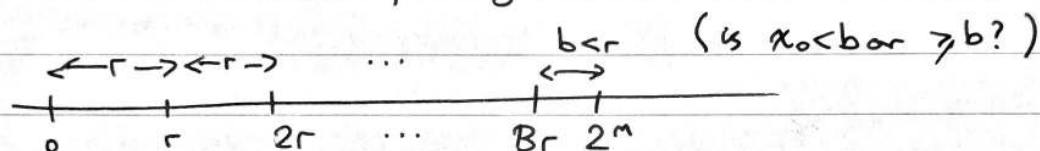
& 1 "corrupt" one of length b .

Now analyse effect of this "corruption" on the period finding algorithm:

Make $|f\rangle = \frac{1}{\sqrt{2^m}} \sum |x\rangle |f(x)\rangle$ & measure value

get $|per\rangle = \frac{1}{\sqrt{A}} \sum_{k=0}^{A-1} |x_0 + kr\rangle$

$A = B$ or $B+1$ depending on random x_0 vs b



Then (as before)

$$\text{QFT}_{2^m} |per\rangle = \sum_{c=0}^{2^m-1} \tilde{f}(c) |c\rangle$$

Recall $\text{QFT}_{2^m} |x_0 + kr\rangle = \frac{1}{\sqrt{2^m}} \sum_{\text{all } c \in \mathbb{Z}_{2^m}} e^{2\pi i(x_0 + kr)c/2^m} |c\rangle$

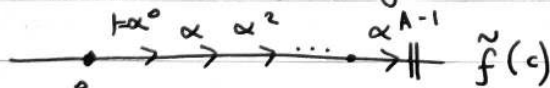
so get $\tilde{f}(c) = \frac{\omega^{cx_0}}{\sqrt{A}\sqrt{2^m}} [1 + \alpha + \dots + \alpha^{A-1}]$ with $\omega = e^{2\pi i/2^m}$
 $\alpha = e^{2\pi i cr/2^m}$

Now if measure: which c 's will we get with "good probability"?

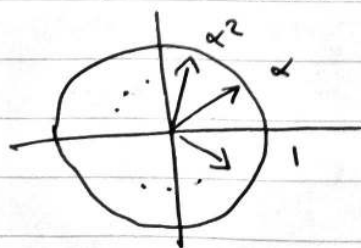
Intuition

Previously for exact periodicity $\frac{2^m}{r} = A$ was integer
 & all $\tilde{f}(c) = 0$ except $c = \text{mults of } (2^m/r)$

For them: $\alpha = 1$ & get constructive addⁿ of terms



For other c 's, sum cancels exactly to 0, $\alpha = \text{any } A^{\text{th}} \text{ root of unity}$
 not 1 so $1 + \alpha + \alpha^2 + \dots + \alpha^{A-1} = 0$



← get perfect cancellation

For inexact case:

Expect constructive addition in geom series for those c 's such that phase (α) small (α 's nearest the real axis)

As $c: 0 \rightarrow 2^m - 1$

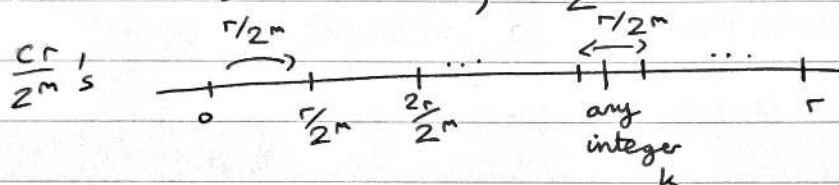
have $\frac{cr}{2^m}: 0 \rightarrow \approx r$ & want those c 's having

$$\alpha = e^{2\pi i cr / 2^m}$$

$\frac{cr}{2^m}$ nearest to integers $k = 0, 1, \dots, (r-1)$ (*)

& powers $1, \alpha, \dots, \alpha^{A-1}$ don't spread too far around circle, so avoid cancellation

As c increases $0 \rightarrow 2^m - 1$, $\frac{cr}{2^m}$ increments by $\frac{r}{2^m}$'s (small



So amongst all 2^m c 's, have special c_k 's (only r of them)

with $\frac{c_k r}{2^m}$ nearest to integers $k = 0, 1, \dots, r-1$:

$$\text{i.e. } \left| \frac{c_k r}{2^m} - k \right| \leq \frac{1}{2} \frac{r}{2^m} \quad (+)$$

$$\text{i.e. } \left| c_k - k \frac{2^m}{r} \right| \leq \frac{1}{2}$$

For these c_k 's, $\frac{c_k r}{2^m} = k + \xi$, $|\xi| \leq \frac{1}{2} \frac{r}{2^m}$

$$\text{so } \alpha^A = e^{2\pi i c_k r A / 2^m} = e^{2\pi i (k + \xi) A} = e^{2\pi i k A} e^{2\pi i \xi A}$$

Recall $A \sim 2^m / r$ & $|\xi| < \frac{1}{2} \frac{r}{2^m}$ so $\alpha^A \sim e^{\pm i\pi}$

i.e. $1, \alpha, \alpha^2, \dots, \alpha^{A-1}$ all in UHP or all in LHP,

so sum $1 + \alpha + \dots + \alpha^{A-1}$ cannot cancel (in imaginary parts)

Doing the algebra (cf notes) get

Theorem Suppose QFT(per) is measured.

Then for any c_k as above, satisfying (+),

$$\text{Prob}(c_k) > \frac{\delta}{r}, \quad k=0,1,\dots,r-1 \quad \& \quad \delta = \frac{4}{\pi^2} \approx 0.4$$

* so nmt will give some c_k with const prob > 0.4 //

algebra here:

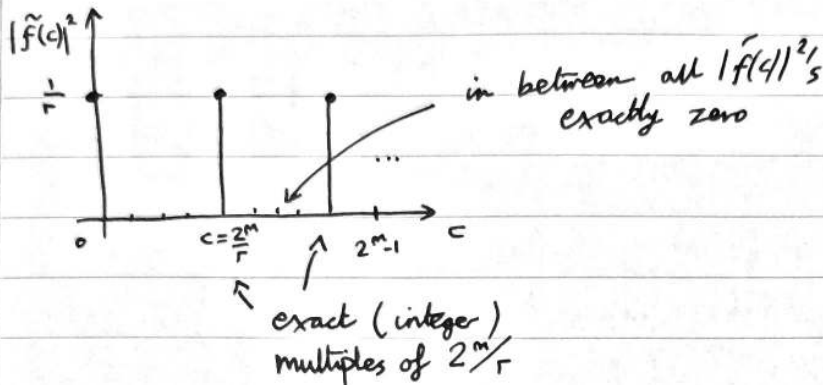
$$\text{geom series} \quad |\tilde{f}(c)|^2 = \frac{1}{A 2^m} \left| \frac{1 - \alpha^A}{1 - \alpha} \right|^2, \quad A \approx 2^m / r$$

$$A > 2^m / r - 1$$

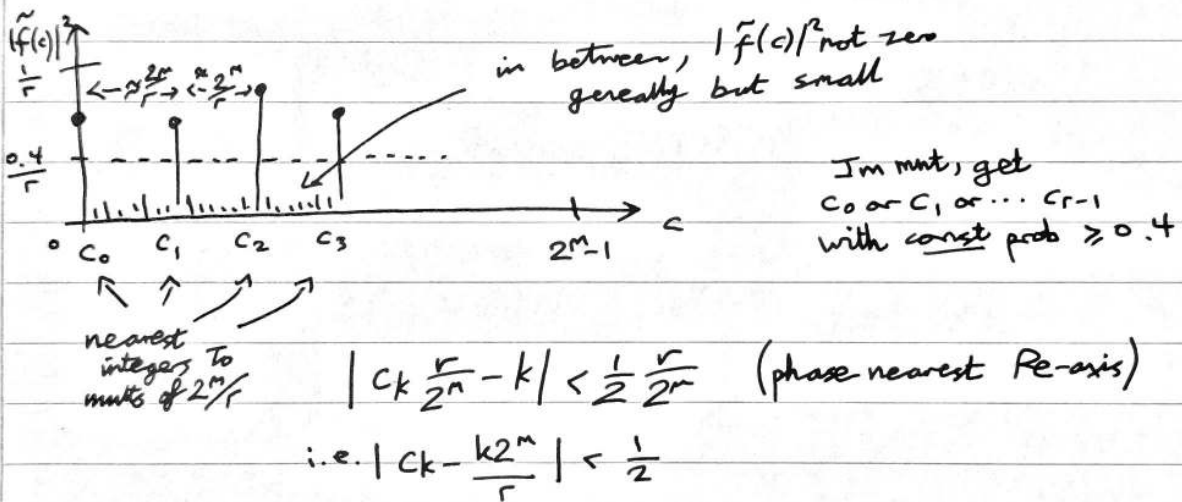
$$\alpha = e^{i\theta_c}, \quad |\theta_c| < \pi r / 2^m \quad (\text{small})$$

$$\Rightarrow |\tilde{f}(c)|^2 > \frac{\delta}{r}$$

exact periodicity $\frac{2^m}{r} = \text{exact integer}$



inexact periodicity $\frac{2^m}{r}$ not exact integer



How to get r from c_k ?

Have $\left| \frac{c_k}{2^m} - \frac{k}{r} \right| < \frac{1}{2^{m+1}} < \frac{1}{2N^2}$ (*) (& $r < N$)

know \uparrow know \uparrow not know \uparrow want!

Claim there is at most one fraction $\frac{k'}{r'}$ with denom $< N$ that satisfies (*). So (*) does uniquely determine k/r .

Proof $\left| \frac{k'}{r'} - \frac{k''}{r''} \right| = \frac{|k'r'' - r'k''|}{r'r''} \geq \frac{1}{r'r''} > \frac{1}{N^2}$

\uparrow \uparrow
different

Hence cannot both be within $\frac{1}{2N^2}$ of any number. //

* This is why we chose $2^m > N^2$!

Introduce: "good" c_k value $\equiv k$ coprime to r

$$\text{so prob}(\text{good } c_k) = O\left(\frac{0.4}{\log \log r}\right) > O\left(\frac{1}{\log \log N}\right)$$

and by above it will determine r itself

How to get r from good c -value

Could (?!) try all fractions k'/r' with $k' < r' < N$

& find closest to $c/2^m$.

But: $O(N^2)$ fractions to try & want poly $(\log N)$ time computation

Theory of continued fractions (CFs)

any rational s/t ($s < t$) has CF expression

$$\frac{s}{t} = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots + \frac{1}{a_L}}}}$$

← if $s > t$, then $a_0 + \frac{1}{a_1 + \dots}$

a_1, a_2, \dots, a_L all positive integers, finite list

since

$$(s < t) \quad \frac{s}{t} = \frac{1}{\frac{t}{s}} = \frac{1}{a_1 + \frac{s_1}{t_1}}, \quad t_1 = s, s_1 < t_1$$

$$= \frac{1}{a_1 + \frac{1}{\frac{t_1}{s_1}}} = \dots$$

each bottom line
= prev top line
< prev bottom line

So seq of denominators are strictly decreasing seq of integers, so must terminate.

Notation: $\frac{1}{a_1 + \frac{1}{a_2 + \dots + \frac{1}{a_L}}} = [a_1, a_2, \dots, a_L] = \frac{s}{t}$

* k^{th} convergent of $\frac{s}{t}$ is $\frac{p_k}{q_k} = \frac{1}{a_1 + \frac{1}{a_2 + \dots + \frac{1}{a_k}}} = [a_1, \dots, a_k]$

So $\frac{p_1}{q_1} = [a_1] = \frac{1}{a_1}$, $\frac{p_2}{q_2} = [a_1, a_2] = \frac{1}{a_1 + \frac{1}{a_2}} = \frac{a_2}{a_1 a_2 + 1}$ etc

$$\frac{p_L}{q_L} = \frac{s}{t}$$

Example $\frac{29}{51} = [1, 1, 3, 7]$ cgt's are
 $[1] = 1, [1, 1] = \frac{1}{2}, [1, 1, 3] = \frac{4}{7},$
 $[1, 1, 3, 7] = \frac{29}{51}$

* we will want to compute all convergents of
 $\frac{s}{t} = \frac{c}{2^m}$

Lemma (recurrence relation)

For a_1, \dots, a_l any +ve real numbers

set $p_0 = 0, q_0 = 1, p_1 = 1, q_1 = a_1$ //

(a) then $[a_1, \dots, a_k] = \frac{p_k}{q_k}$ where

$$p_k = a_k p_{k-1} + p_{k-2}, \quad q_k = a_k q_{k-1} + q_{k-2}, \quad k \geq 2$$

(b) $q_k p_{k-1} - p_k q_{k-1} = (-1)^k, \quad k \geq 1$

(c) $\text{hcf}(p_k, q_k) = 1, \quad k \geq 1$ ← if a_i are integers?

Proof (sketch) (a) induction $[a_1, a_2, \dots, a_{k+1}] = [a_1, \dots, a_k + \frac{1}{a_{k+1}}]$
 $\leftarrow \begin{matrix} k+1 \\ \hline k \end{matrix}$ $\leftarrow \begin{matrix} k \\ \hline k \end{matrix}$

(b) induction, (c) easy from (b)

Theorem If $s < t$ are m -bit integers (coprime) then

$$\text{CF}\left(\frac{s}{t}\right) = [a_1, \dots, a_l] \text{ has length } l = O(m)$$

and all convergents $\frac{p_k}{q_k} = [a_1, \dots, a_k], \quad k = 1, \dots, l$

can be computed in $O(m^3)$ time.

Proof a_k 's $\geq 1, p_k, q_k$'s ≥ 1

Have recurrence relation

$$p_k = a_k p_{k-1} + p_{k-2}, \quad q_k = a_k q_{k-1} + q_{k-2}$$

so $p_k > p_{k-1} > p_{k-2}$ increasing so by \leftarrow again $p_k \geq 2p_{k-2}$

So p_k 's grow exponentially with # steps

(\approx double every 2 steps)

So $O(m)$ of these will get to m -bit s .

Similarly for q_k 's.

& addition/mult of m -bit integers $\sim O(m^2)$ time. =

Hence can compute all convergents in time $O(m)O(m^2) = O(m^3)$. ✓

Main CF theorem

For any (rational) $0 < x < 1$,

$$\text{if } \left| x - \frac{p}{q} \right| < \frac{1}{2q^2}$$

then $\frac{p}{q}$ is a convergent of $CF(x)$.

Proof (optional) - see notes

Remark CFs also work for irrational x too e.g. $x \in (0,1)$

$$x = \frac{1}{\frac{1}{x}} = \frac{1}{a_1 + x_1} = \frac{1}{a_1 + \frac{1}{x_1}} = \dots = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots}}}$$

a_1, a_2 integers as before but now need not terminate

e.g. golden ratio $\gamma = \frac{\sqrt{5}+1}{2}$, $\gamma^2 - \gamma - 1 = 0$ so $x = 1 + \frac{1}{x}$

$$\text{so } \gamma = [1; 1, 1, 1, \dots]$$

convergents are quotients of successive Fibonacci

$$\frac{1}{1}, \frac{2}{1}, \frac{3}{2}, \frac{5}{3}, \frac{8}{5}, \frac{13}{8}, \dots$$

$$= 1 + \frac{1}{1 + \frac{1}{x}}$$

$$= 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{x}}}$$

$$(\sqrt{2}-1) \cdot \frac{\sqrt{2}+1}{\sqrt{2}+1} = \frac{1}{\sqrt{2}+1} = \frac{1}{2+(\sqrt{2}-1)} = [2, 2, \dots]$$

$$\text{so } \sqrt{2} = [1; 2, 2, \dots]$$

Periodic CF: initial finite block, then repeating finite block

Thm (Lagrange) x has periodic CF iff x is irrational solⁿ of a quadratic with integer coeffs

Can show (Euler)

$$e = [2; 1, 2, 1, 1, 4, 1, 1, 6, 1, 1, 8, \dots]$$

Also (Euler)

$$e = 2 + \frac{1}{1 + \frac{1}{2 + \frac{2}{3 + \frac{3}{4 + \frac{4}{5 + \dots}}}}}$$

Back to getting r from good c value (k & r coprime)
 & satisfying $\left| \frac{c}{2^m} - \frac{k}{r} \right| < \frac{1}{2N^2} < \frac{1}{2r^2}$ as $r < N$

so by CF $\frac{k}{r}$ must be a cgt of $CF(\frac{c}{2^m})$

* i.e. out of all $O(N^2)$ $\frac{k}{r}$'s with denom $< N$
 we need to consider only $l = \text{length}(CF(\frac{c}{2^m})) = O(m) = O(\log N)$
 of them

Can compute all convergents in $O(m^3)$ time = $O((\log N)^2)$ time
 & check which (unique) one of these is within $\frac{1}{2N^2}$ of
 $\frac{c}{2^m}$ to give $\frac{k}{r}$.

This achieves factoring in $O((\log N)^3)$

! slowest part of algorithm is classical post-processing of
 period-finding algorithm output.

Example Factor $N=39$. Have chosen $a=7 < 39$ coprime

$r =$ period of $f(x) = 7^x \bmod 39$

will compute f for $x: 0 \rightsquigarrow "2^m > N^2"$

$$N^2 = 1521 > 1024 \text{ but } N^2 < 2048 = 2^{11}$$

so $m=11$, use 11 qubits in x register

• Suppose mint of QFT_{2^m} (per) yields $c = 853 \in \mathbb{Z}_{2^m}$

so by theory c has conts prob ≈ 0.4 to satisfy

$$\left| \frac{853}{2^m} - \frac{k}{r} \right| < \frac{1}{2^{m+1}} = \frac{1}{2^{12}} < \frac{1}{2N^2}$$

and with 'good' prob $> O\left(\frac{1}{\log \log N}\right)$, k coprime to r

* here $c = 853$ chosen to have these properties, with k, r
 coprime

$$\text{Now } \left| \frac{853}{2048} - \frac{k}{r} \right| < \frac{1}{2^{12}} \text{ for unique } \frac{k}{r} \text{ with } r < N$$

To find $\frac{k}{r}$, calculate $CF\left(\frac{853}{2048}\right)$ & convergents.

$$\begin{aligned}
 \frac{853}{2048} &= \frac{1}{\frac{2048}{853}} \left\{ 2 + \frac{342}{853} \right. \\
 &= 2 + \frac{1}{\frac{853}{342}} \left\{ 2 + \frac{169}{342} \right. \\
 &= 2 + \frac{1}{\frac{342}{169}} \left\{ 2 + \frac{4}{169} \right. \\
 &= 2 + \frac{1}{\frac{169}{4}} \left\{ 42 + \frac{1}{4} \right. \\
 &= 42 + \frac{1}{4} \quad \checkmark
 \end{aligned}$$

so CF = [2, 2, 2, 42, 4]

Convergents are

$$[2] = \frac{1}{2}, \quad [2, 2] = \frac{2}{5}, \quad [2, 2, 2] = \frac{5}{12},$$

$$[2, 2, 2, 42] = \frac{212}{509}, \quad [2, 2, 2, 42, 4] = \frac{853}{2048}$$

Check: only $\frac{5}{12}$ is within $\frac{1}{212}$ of $\frac{853}{2048}$

$$\left| \frac{5}{12} - \frac{853}{2048} \right| = 0.000163... < \frac{1}{212} = 0.000244...$$

So $\frac{5}{12}, \frac{10}{24}, \frac{15}{36}$ are possible $\frac{k}{r}$'s with $r < 39$.

but k, r coprime $\Rightarrow r = 12$

Indeed check $7^{12} \equiv 1 \pmod{39} \checkmark$

Then $39 \mid (7^6 + 1)(7^6 - 1)$

& hope/expect it goes into both partially

$$\text{Compute } 7^6 + 1 = 117650 = 26 \pmod{39}$$

$$7^6 - 1 = 117648 = 24 \pmod{39}$$

$$\text{Euclid: } \text{hcf}(26, 39) = 13$$

$$\text{hcf}(24, 39) = 3$$

giving factors 13 & 3 of 39.

Shor's algorithm: technique generalises to other problems -

① discrete logs (ExSh 4)

② hidden subgroup problem

group G , size $|G|$

· given oracle for $f: G \rightarrow \text{some } Y$

· promise: there is a subgroup $H < G$ s.t. f is constant & distinct on cosets of H in G

· problem: "find" H

& do it all in poly $\log |G|$ time

Example $f: \mathbb{Z}_N \rightarrow \mathbb{Z}$ period r

$$G = (\mathbb{Z}_N, +), \quad H = \{0, r, \dots, (A-1)r\}$$